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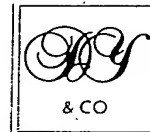


Signed

Dated

*P. Mahoney*  
21 January 2004





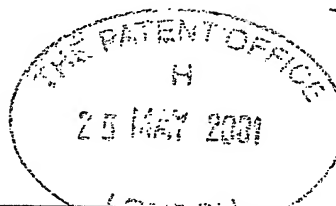
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1. Your reference

P011071GB-CLM-CAD

2. Patent application number  
(The Patent Office will fill in this part)

**0112818.0**

**25 MAY 2001**

3. Full name, address and postcode of the  
or of each applicant  
(underline all surnames)

Lorantis Limited  
Babraham Hall  
Babraham  
Cambridge  
CB2 4UL

Patents ADP number (if you know it) 7911845001

If the applicant is a corporate body, give  
the country/state of its incorporation

U.K.

4. Title of the invention

Conjugate

5. Name of your agent (if you have one)

**D YOUNG & CO**

"Address for service" in the United Kingdom  
to which all correspondence should be sent  
(including the postcode)

**21 NEW FETTER LANE  
LONDON  
EC4A 1DA**

Patents ADP number (if you know it)

**59006**

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8. Is a statement of inventorship and of right to grant of a patent required in support of this request? (Answer 'Yes' if:

- a) any applicant named in part 3 is not an inventor, or  
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Continuation sheets of this form

Description

53

Claim(s)

4

Abstract

1

Drawing(s)

4 + 4

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Priority Documents

Translation of Priority Documents

Statement of inventorship and right to grant of a patent (Patents Form 7/77)

Request for preliminary examination and search (Patents Form 9/77)

1

Request for substantive examination (Patents Form 10/77)

Any other documents (Please specify)

11.

I/We request the grant of a Patent on the basis of this application.

Signature

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25 May 2001

Agents for the Applicants

12. Name and daytime telephone number of person to contact in the United Kingdom

Catherine Mallalieu

020 7353 4343

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## Conjugate

### Field of the Invention

The present invention relates to a molecule and method for modifying T cell activation via targeting of a protein for Notch signalling modulation.

5

### Background of the Invention

Immunological tolerance to self-antigens is vital to the proper functioning of the mammalian immune system. In addition to the deletion of self-reacting T cells in the thymus, active suppression mediated by regulatory T cells has recently been identified  
10 as an important mechanism for maintaining peripheral tolerance (WO98/20142). In autoimmune diseases such as multiple sclerosis, rheumatoid arthritis or diabetes, there is a failure of the proper regulation of tolerance. Improved treatment methods for re-establishing tolerance are desirable for autoimmune diseases. Similarly in allergic conditions and for transplantation of an organ or tissue from a donor individual,  
15 induction of tolerance to particular foreign antigens or profiles of foreign antigens is desirable.

It has recently been shown that it is possible to generate a class of regulatory T cells which are able to transmit antigen-specific tolerance to other T cells, a process termed  
20 infectious tolerance (WO98/20142). The functional activity of these cells can be mimicked by over-expression of a Notch ligand protein on their cell surfaces or on the surface of antigen presenting cells. In particular, regulatory T cells can be generated by over-expression of a member of the Delta or Serrate family of Notch ligand proteins. Delta or Serrate induced T cells specific to one antigenic epitope are also able to  
25 transfer tolerance to T cells recognising other epitopes on the same or related antigens, a phenomenon termed "epitope spreading".

In addition, WO00/36089 describes a method for producing a lymphocyte or antigen presenting cell (APC) having or able to induce tolerance to an allergen or antigen which method comprises incubating a lymphocyte or APC obtained from a human or animal

patient with (i) a composition capable of upregulating expression of an endogenous Notch or Notch ligand in the lymphocyte and/or APC and (ii) the allergen or antigen.

However, there remains a need in the art for the provision of further diagnostic or therapeutic compositions useful in the detection, prevention and treatment of T cell mediated diseases or disorders. The present invention addresses this problem by delivering an effective Notch signal directly to T cells.

### Statements of the Invention

According to one aspect of the present invention there is provided a conjugate comprising first and second sequences, wherein the first sequence comprises a transport protein and the second sequence comprises a polypeptide or polynucleotide for Notch signalling modulation.

The present invention relates to a conjugate which is a molecule comprising at least one transport protein linked to at least one polypeptide or polynucleotide for Notch signalling modulation formed through genetic fusion or chemical coupling. By "linked" we mean that the first and second sequences are associated such that the second sequence is able to be transported by the first sequence into a target cell and/or into a compartment of a target cell. Thus, conjugates include fusion proteins in which the transport protein is linked to a protein for Notch signalling modulation via their polypeptide backbones through genetic expression of a DNA molecule encoding these proteins, directly synthesised proteins and coupled proteins in which pre-formed sequences are associated by a cross-linking agent. The term is also used herein to include associations, such as aggregates, of the protein for Notch signalling modulation with the transport protein. According to one embodiment the second sequence may comprise a polynucleotide sequence, e.g. a nucleic acid binding domain (such as a transcription factor binding site) or an antisense sequence. This embodiment may be seen as a protein/nucleic acid complex.

The second sequence may be from the same species as the first sequence, but is present in the conjugate of the invention in a manner different from the natural situation, or from a different species.

- ~~5 The conjugates of the present invention are capable of being taken up by a population~~  
~~of cells or directed to a compartment within a cell so that an effector function~~  
~~corresponding to the polypeptide sequence coupled to the transport amino acid~~  
~~sequence can take place within the target cell.~~
- 10 The second sequence of the present invention is a polypeptide or polynucleotide which is for Notch signalling modulation. Notch signalling modulation involves transduction, activation or inhibition of the Notch signalling pathway including upstream and downstream events.
- 15 By a polypeptide or polynucleotide which is for Notch signalling transduction we include a molecule which participates in signalling through Notch receptors including activation of Notch, the downstream events of the Notch signalling pathway, transcriptional regulation of downstream target genes and other non-transcriptional downstream events (e.g. post-translational modification of existing proteins). More
- 20 particularly, the second sequence is a domain that allows activation of target genes of the Notch signalling pathway, or a polynucleotide sequence which codes therefor.

In other words, by modulating Notch signalling transduction we include:

- a) activation of the Notch signalling pathway by (i) dominant negative or inhibitors of  
25 repressors and (ii) activators; and
- b) blockade of the Notch signalling pathway by (i) dominant negative or inhibitors of  
activators and (ii) inhibitors.

For the avoidance of doubt, we would mention that drosophila and vertebrate names  
30 are used interchangeably and that both (all homologues) are included within the scope of the invention.

Key targets for Notch-dependent transcriptional activation are genes of the *Enhancer of split* complex (E[spl]). Moreover these genes have been shown to be direct targets for binding by the Su(H) protein and to be transcriptionally activated in response to Notch signalling. By analogy with EBNA2, a viral coactivator protein that interacts with a mammalian Su(H) homologue CBF1 to convert it from a transcriptional repressor to a transcriptional activator, the Notch intracellular domain, perhaps in association with other proteins may combine with Su(H) to contribute an activation domain that allows Su(H) to activate the transcription of *E(spl)* as well as other target genes. It should also be noted that Su(H) is not required for all Notch-dependent decisions, indicating that Notch mediates some cell fate choices by associating with other DNA-binding transcription factors or by employing other mechanisms to transduce extracellular signals.

According to one aspect of the present invention the second sequence is the Notch polypeptide or polynucleotide or a fragment thereof which retains the signalling transduction ability of Notch or an analogue of Notch which has the signalling transduction ability of Notch. By Notch, we mean Notch-1, Notch-2, Notch-3, Notch-4 and any other Notch homologues or analogues. In a particularly preferred embodiment the second amino acid sequence is the Notch intracellular domain (Notch IC) or a sub-fragment thereof.

As used herein the term "analogue of Notch" includes variants thereof which retain the signalling transduction ability of Notch. By "analogue" we include a protein which has Notch signalling transduction ability, but generally has a different evolutionary origin to Notch. Analogues of Notch include proteins from the Epstein Barr virus (EBV), such as EBNA2, BARF0 or LMP2A.

By a polypeptide or polynucleotide which is for Notch signalling activation we mean a molecule which is capable of activating Notch, the Notch signalling pathway or any one or more of the components of the Notch signalling pathway.



In one embodiment, the molecule for Notch signalling activation will be a dominant negative version of a Notch signalling repressor. In an alternative embodiment, the molecule for Notch signalling activation will be capable of inhibiting a Notch signalling repressor. In a further alternative embodiment, the molecule for Notch signalling activation will be a positive activator of Notch signalling.

In a particular embodiment, the molecule will be capable of inducing or increasing Notch or Notch ligand expression. Such a molecule may be a nucleic acid sequence capable of inducing or increasing Notch or Notch ligand expression.

10

In one embodiment, the molecule will be capable of upregulating expression of the endogenous genes encoding Notch or Notch ligands in target cells. In particular, the molecule may be an immunosuppressive cytokine capable of upregulating the expression of endogenous Notch or Notch ligands in target cells, or a polynucleotide which encodes such a cytokine. Immunosuppressive cytokines include IL-4, IL-10, IL-13, TGF- $\beta$  and FLT3 ligand.

15

Preferably, the molecule will be a polypeptide selected from Noggin, Chordin, Follistatin, Xnr3, fibroblast growth factors and derivatives, fragments, variants and homologues thereof, or a polynucleotide encoding any one or more of the above.

20

In another embodiment, the molecule may be a Notch ligand, or a polynucleotide encoding a Notch ligand. Notch ligands of use in the present invention include endogenous Notch ligands which are typically capable of binding to a Notch receptor polypeptide present in the membrane of a variety of mammalian cells, for example hemopoietic stem cells.

25

Particular examples of mammalian Notch ligands identified to date, and of use in the present invention, include the Delta family, for example Delta (Genbank Accession No. AF003522 - *Homo sapiens*), Delta-3 (Genbank Accession No. AF084576 - *Rattus norvegicus*) and Delta-like 3 (*Mus musculus*), and the Serrate family, for example Serrate-1 and Serrate-2 (WO97/01571, WO96/27610 and WO92/19734), Jagged-1 and

30

Jagged-2 (Genbank Accession No. AF029778 - *Homo sapiens*), and LAG-2. Homology between family members is extensive. For example, human Jagged-2 has 40.6% identity and 58.7% similarity to Serrate.

5 In a preferred embodiment, the activator will be a constitutively active Notch receptor or Notch intracellular domain, or a polynucleotide encoding such a receptor or intracellular domain.

10 In an alternative embodiment, the activator of Notch signalling will act downstream of the Notch receptor. Thus, for example, the activator of Notch signalling may be a constitutively active Deltex polypeptide or a polynucleotide encoding such a polypeptide. Other downstream components of the Notch signalling pathway of use in the present invention include Deltex-1, Deltex-2, Deltex-3, Suppressor of Deltex (SuDx), Numb and isoforms thereof, Numb associated Kinase (NAK), Notchless,  
15 Dishevelled (Dsh), emb5, Fringe genes (such as Radical, Lunatic and Manic), PON, LNX, Disabled, Numblake, Nur77, NFkB2, Mirror, Warthog, Engrailed-1 and Engrailed-2, Lip-1 and homologues thereof, the polypeptides involved in the Ras/MAPK cascade modulated by Deltex, polypeptides involved in the proteolytic cleavage of Notch such as Presenilin and polypeptides involved in the transcriptional  
20 regulation of Notch target genes, preferably in a constitutively active form, and analogues, derivatives, variants and fragments thereof.

By polypeptides or polynucleotides for Notch signalling activation is also meant any polypeptides expressed as a result of Notch activation and any polypeptides involved  
25 in the expression of such polypeptides, or polynucleotides encoding for such polypeptides.

Activation of Notch signalling may also be achieved by repressing inhibitors of the Notch signalling pathway. As such, polypeptides for Notch signalling activation will  
30 include molecules capable of repressing any Notch signalling inhibitors. Preferably the molecule will be a polypeptide, or a polynucleotide encoding such a polypeptide, that decreases or interferes with the production or activity of compounds that are capable of

producing an decrease in the expression or activity of Notch, Notch ligands, or any downstream components of the Notch signalling pathway. In a preferred embodiment, the molecules will be capable of repressing polypeptides of the Toll-like receptor protein family, cytokines such as IL-12, IFN- $\gamma$ , TNF- $\alpha$ , and growth factors such as the  
5 bone morphogenetic protein (BMP), BMP receptors and activins, derivatives, fragments, variants and homologues thereof.

By a polypeptide or polynucleotide which is for Notch signalling inhibition, we mean a molecule which is capable of inhibiting Notch, the Notch signalling pathway or any  
10 one or more of the components of the Notch signalling pathway.

In one embodiment, the molecule for Notch signalling inhibition will be a dominant negative version of a compound capable of activating or transducing Notch signalling. In an alternative embodiment, the molecule for Notch signalling inhibition will be  
15 capable of repressing a compound capable of activating or transducing Notch signalling. In a further alternative embodiment, the molecule for Notch signalling inhibition will be an inhibitor of Notch signalling.

In a particular embodiment, the molecule will be capable of reducing or preventing  
20 Notch or Notch ligand expression. Such a molecule may be a nucleic acid sequence capable of reducing or preventing Notch or Notch ligand expression.

Preferably the nucleic acid sequence encodes a polypeptide selected from Toll-like receptor protein family, a cytokine such as IL-12, IFN- $\gamma$ , TNF- $\alpha$ , or a growth factor  
25 such as a bone morphogenetic protein (BMP), a BMP receptor and activins. Preferably the agent is a polypeptide, or a polynucleotide encoding such a polypeptide, that decreases or interferes with the production of compounds that are capable of producing an increase in the expression of Notch ligand, such as Noggin, Chordin, Follistatin, Xnr3, fibroblast growth factors and derivatives, fragments, variants and  
30 homologues thereof.

Alternatively, the nucleic acid sequence is an antisense construct derived from a sense nucleotide sequence encoding a polypeptide selected from a Notch ligand and a polypeptide capable of upregulating Notch ligand expression, such as Noggin, Chordin, Follistatin, Xnr3, fibroblast growth factors and derivatives, fragments, variants and homologues thereof.

In another preferred embodiment the inhibitor of Notch signalling is a molecule which is capable of modulating Notch-Notch ligand interactions. A molecule may be considered to modulate Notch-Notch ligand interactions if it is capable of inhibiting the interaction of Notch with its ligands, preferably to an extent sufficient to provide therapeutic efficacy.

In this embodiment the molecule may be a polypeptide, or a polynucleotide encoding such a polypeptide, selected from a Toll-like receptor, a cytokine such as IL-12, IFN- $\gamma$ , TNF- $\alpha$ , or a growth factor such as a BMP, a BMP receptor and activins. Preferably the polypeptide decreases or interferes with the production of an agent that is capable of producing an increase in the expression of Notch ligand, such as Noggin, Chordin, Follistatin, Xnr3, fibroblast growth factors and derivatives, fragments, variants, homologues and analogs thereof.

Preferably when the inhibitor is a receptor or a nucleic acid sequence encoding a receptor, the receptor is activated. Thus, for example, when the agent is a nucleic acid sequence, the receptor is constitutively active when expressed.

Inhibitors of Notch signalling also include downstream inhibitors of the Notch signalling pathway (such as Dsh and Numb), compounds that prevent expression of Notch target genes or induce expression of genes repressed by the Notch signalling pathway and dominant negative versions Notch signalling transducer molecules (such as of Notch IC and Deltex). Proteins for Notch signalling inhibition will also include variants of the wild-type components of the Notch signalling pathway which have been modified in such a way that their presence blocks rather than transduces the signalling pathway. An example of such a compound would be a Notch receptor which

has been modified such that proteolytic cleavage of its intracellular domain is no longer possible.

The transport proteins used in the present invention are membrane translocation proteins capable of translocating the cell membrane and, in a preferred embodiment, also the nuclear membrane. It is irrelevant whether the protein translocates from the exterior of the cell/nucleus or from the interior, i.e. the proteins may originate within the cytoplasm or nucleus (for example, by virtue of having being synthesised there or inserted into that compartment), and translocate to a location exterior to the cellular compartment (cytoplasm or nucleus) it originates from. In general, the proteins are prepared outside the cell and translocate from an exterior location to the cytoplasm and then optionally, on into the nucleus.

As used herein, the term "cell membrane translocation" refers to the ability of the protein to cross the cell membrane and enter the cytosol/cytoplasm of a cell or to cross from the cytosol/cytoplasm of a cell to the exterior, extra-cellular or interstitial space.

The term "nuclear membrane translocation" refers to the ability of the protein to cross the membrane structure surrounding the cell nucleus, or to cross from the cytosol/cytoplasm of a cell to the nucleus.

In use, many of the products described herein can be expressed as fusion proteins in a first part of the target population of cells, exported therefrom, and taken up by a second part of the target population of cells not directly producing the protein. The first and second part cells may be the same or different cell types. Also within the invention are mammalian and microbial host cells comprising such vectors or other polynucleotides encoding the fusion proteins, and their production and use.

A fusion polypeptide as described herein can be transported to a target population of cells, by introducing a polynucleotide or other vector encoding the fusion polypeptide into a first part of the target population of cells, e.g. by transfection or microinjection; expressing the encoding polynucleotide to produce the fusion polypeptide, thereby to

cause it to be exported from said first part of said target population, and to cause it to be taken up by a second part of the target population of cells not directly producing the fusion polypeptide.

- 5 Coupled products can also be transported into a target population of cells by directly exposing the cells to a preparation of the coupled products, thereby to cause the target cells to take them up.

Examples of preferred transport proteins include VP22, Antennapedia and HIV tat.

10

- The terms "polypeptide" and "protein" are used interchangeably and refer to endogenous, modified, synthetic and/or natural sequences including variants, derivatives, analogues and fragments thereof. The term "polynucleotide" refers to an endogenous, modified, synthetic and/or natural chain of nucleotides (i.e. DNA or  
15 RNA) which may comprise, for example, a protein-encoding domain, an antisense sequence or a functional motif such as a protein-binding domain and includes variants, derivatives, analogues and fragments thereof. The term also refers to polypeptides encoded by the nucleotide sequence.

- 20 Within the definitions of "proteins" useful in the present invention, the specific amino acid residues may be modified in such a manner that the protein in question retains at least one of its endogenous functions, such modified proteins are referred to as "variants". A variant protein can be modified by addition, deletion and/or substitution of at least one amino acid present in the naturally-occurring protein.

25

Typically, amino acid substitutions may be made, for example from 1, 2 or 3 to 10 or 20 substitutions provided that the modified sequence retains the required transport activity or ability to modulate Notch signalling. Amino acid substitutions may include the use of non-naturally occurring analogues.

30

The protein used in the present invention may also have deletions, insertions or substitutions of amino acid residues which produce a silent change and result in a

functionally equivalent protein. Deliberate amino acid substitutions may be made on the basis of similarity in polarity, charge, solubility, hydrophobicity, hydrophilicity, and/or the amphipathic nature of the residues as long as the transport or modulation function is retained. For example, negatively charged amino acids include aspartic acid and glutamic acid; positively charged amino acids include lysine and arginine; and amino acids with uncharged polar head groups having similar hydrophilicity values include leucine, isoleucine, valine, glycine, alanine, asparagine, glutamine, serine, threonine, phenylalanine, and tyrosine.

- 10 Conservative substitutions may be made, for example according to the Table below. Amino acids in the same block in the second column and preferably in the same line in the third column may be substituted for each other:

ALIPHATIC	Non-polar	G A P
		I L V
	Polar – uncharged	C S T M
		N Q
	Polar – charged	D E
		K R
AROMATIC		H F W Y

- 15 As used herein, the term "protein" includes single-chain polypeptide molecules as well as multiple-polypeptide complexes where individual constituent polypeptides are linked by covalent or non-covalent means. As used herein, the terms "polypeptide" and "peptide" refer to a polymer in which the monomers are amino acids and are joined together through peptide or disulfide bonds. The terms subunit and domain may also
- 20 refer to polypeptides and peptides having biological function. A peptide useful in the invention will at least have a transport or signalling modulation capability. "Fragments" are also variants and the term typically refers to a selected region of the

protein that is of interest in a binding assay and for which a binding partner is known or determinable. "Fragment" thus refers to an amino acid sequence that is a portion of a full-length polypeptide, between about 8 and about 745 amino acids in length, preferably about 8 to about 300, more preferably about 8 to about 200 amino acids, and even more preferably about 10 to about 50 or 100 amino acids in length. "Peptide" refers to a short amino acid sequence that is 10 to 40 amino acids long, preferably 10 to 35 amino acids.

- Such variants may be prepared using standard recombinant DNA techniques such as site-directed mutagenesis. Where insertions are to be made, synthetic DNA encoding the insertion together with 5' and 3' flanking regions corresponding to the naturally-occurring sequence either side of the insertion site. The flanking regions will contain convenient restriction sites corresponding to sites in the naturally-occurring sequence so that the sequence may be cut with the appropriate enzyme(s) and the synthetic DNA ligated into the cut. The DNA is then expressed in accordance with the invention to make the encoded protein. These methods are only illustrative of the numerous standard techniques known in the art for manipulation of DNA sequences and other known techniques may also be used.
- Variants of the nucleotide sequence may also be made. Such variants will preferably comprise codon optimised sequences. Codon optimisation is known in the art as a method of enhancing RNA stability and therefore gene expression. The redundancy of the genetic code means that several different codons may encode the same amino-acid. For example, Leucine, Arginine and Serine are each encoded by six different codons. Different organisms show preferences in their use of the different codons. Viruses such as HIV, for instance, use a large number of rare codons. By changing a nucleotide sequence such that rare codons are replaced by the corresponding commonly used mammalian codons, increased expression of the sequences in mammalian target cells can be achieved. Codon usage tables are known in the art for mammalian cells, as well as for a variety of other organisms.



In one embodiment of the present invention, at least one of the nucleotide sequences encoding either the transport protein or the protein for Notch signalling is codon optimised for expression in mammalian cells.

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5 In a preferred embodiment, the sequences are optimised in their entirety.

The ability of a naturally occurring or synthetic sequence to translocate the membrane or affect Notch signalling may be tested by routine methods known in the art.

10 Some variants of the known transport proteins which retain the ability to translocate the membrane have been reported in the art and these are included in the scope of the present invention, together with any which become available.

Some variants of the known proteins for Notch signalling modulation which retain this  
15 ability have been reported in the art and these are included in the scope of the present invention, together with any which become available.

Preferably, any non-native protein is prepared by use of recombinant techniques.

20 In a further aspect of the present invention there is provided a polynucleotide sequence encoding the fusion protein of the present invention.

"Polynucleotide" refers to a polymeric form of nucleotides of at least 10 bases in length and up to 1,000 bases or even more, either ribonucleotides or  
25 deoxyribonucleotides or a modified form of either type of nucleotide. The term includes single and double stranded forms of DNA.

These may be constructed using standard recombinant DNA methodologies. The nucleic acid may be RNA or DNA and is preferably DNA. Where it is RNA,  
30 manipulations may be performed via cDNA intermediates. Generally, a nucleic acid sequence encoding the first region will be prepared and suitable restriction sites provided at the 5' and/or 3' ends. Conveniently the sequence is manipulated in a

standard laboratory vector, such as a plasmid vector based on pBR322 or pUC19 (see below). Reference may be made to Molecular Cloning by Sambrook *et al.* (Cold Spring Harbor, 1989) or similar standard reference books for exact details of the appropriate techniques.

5

Nucleic acid encoding the second region may likewise be provided in a similar vector system.

10 Sources of nucleic acid may be ascertained by reference to published literature or databanks such as GenBank. Nucleic acid encoding the desired first or second sequences may be obtained from academic or commercial sources where such sources are willing to provide the material or by synthesising or cloning the appropriate sequence where only the sequence data are available. Generally this may be done by reference to literature sources which describe the cloning of the gene in question.

15

Alternatively, where limited sequence data is available or where it is desired to express a nucleic acid homologous or otherwise related to a known nucleic acid, exemplary nucleic acids can be characterised as those nucleotide sequences which hybridise to the nucleic acid sequences known in the art. Genes will be referred to interchangeably  
20 under their human or *Drosophila* nomenclature. Reference to a gene is meant to include the gene itself and any homologues thereof.

It will be understood by a skilled person that numerous different nucleotide sequences can encode the same transport protein or protein for Notch signalling modulation used  
25 in the present invention as a result of the degeneracy of the genetic code. In addition, it is to be understood that skilled persons may, using routine techniques, make nucleotide substitutions that do not affect the transport protein or protein for Notch signalling modulation encoded by the nucleotide sequence of the present invention to reflect the codon usage of any particular host organism in which the transport protein or protein for  
30 Notch signalling modulation of the present invention is to be expressed.

In general, the terms "variant", "homologue" or "derivative" in relation to the nucleotide sequence used in the present invention includes any substitution of, variation of, modification of, replacement of, deletion of or addition of one (or more) nucleic acid from or to the sequence providing the resultant nucleotide sequence codes for a transport protein or protein for Notch signalling modulation.

As indicated above, with respect to sequence homology, preferably there is at least 75%, more preferably at least 85%, more preferably at least 90% homology to the reference sequences. More preferably there is at least 95%, more preferably at least 98%,  
10 homology. Nucleotide homology comparisons may be conducted as described above. A preferred sequence comparison program is the GCG Wisconsin Bestfit program described above. The default scoring matrix has a match value of 10 for each identical nucleotide and -9 for each mismatch. The default gap creation penalty is -50 and the default gap extension penalty is -3 for each nucleotide.

15 The present invention also encompasses nucleotide sequences that are capable of hybridising selectively to the reference sequences, or any variant, fragment or derivative thereof, or to the complement of any of the above. Nucleotide sequences are preferably at least 15 nucleotides in length, more preferably at least 20, 30, 40 or 50 nucleotides in  
20 length.

The term "hybridization" as used herein shall include "the process by which a strand of nucleic acid joins with a complementary strand through base pairing" as well as the process of amplification as carried out in polymerase chain reaction (PCR)  
25 technologies.

Nucleotide sequences useful in the invention capable of selectively hybridising to the nucleotide sequences presented herein, or to their complement, will be generally at least 75%, preferably at least 85 or 90% and more preferably at least 95% or 98% homologous  
30 to the corresponding nucleotide sequences presented herein over a region of at least 20, preferably at least 25 or 30, for instance at least 40, 60 or 100 or more contiguous nucleotides. Preferred nucleotide sequences of the invention will comprise regions

homologous to the nucleotide sequence set out in SEQ ID No 2 or SEQ ID No 3 preferably at least 80 or 90% and more preferably at least 95% homologous to the nucleotide sequence set out in SEQ ID No 2 or SEQ ID No 3.

- 5 The term "selectively hybridizable" means that the nucleotide sequence used as a probe is used under conditions where a target nucleotide sequence of the invention is found to hybridize to the probe at a level significantly above background. The background hybridization may occur because of other nucleotide sequences present, for example, in the cDNA or genomic DNA library being screened. In this event, background implies a
- 10 level of signal generated by interaction between the probe and a non-specific DNA member of the library which is less than 10 fold, preferably less than 100 fold as intense as the specific interaction observed with the target DNA. The intensity of interaction may be measured, for example, by radiolabelling the probe, e.g. with  $^{32}\text{P}$ .
- 15 Hybridization conditions are based on the melting temperature ( $T_m$ ) of the nucleic acid binding complex, as taught in Berger and Kimmel (1987, Guide to Molecular Cloning Techniques, Methods in Enzymology, Vol 152, Academic Press, San Diego CA), and confer a defined "stringency" as explained below.
- 20 Maximum stringency typically occurs at about  $T_m - 5^\circ\text{C}$  ( $5^\circ\text{C}$  below the  $T_m$  of the probe); high stringency at about  $5^\circ\text{C}$  to  $10^\circ\text{C}$  below  $T_m$ ; intermediate stringency at about  $10^\circ\text{C}$  to  $20^\circ\text{C}$  below  $T_m$ ; and low stringency at about  $20^\circ\text{C}$  to  $25^\circ\text{C}$  below  $T_m$ . As will be understood by those of skill in the art, a maximum stringency hybridization can be used to identify or detect identical nucleotide sequences while an intermediate
- 25 (or low) stringency hybridization can be used to identify or detect similar or related polynucleotide sequences.

In a preferred aspect, the present invention covers nucleotide sequences that can hybridise to the nucleotide sequence of the present invention under stringent conditions (e.g.  $65^\circ\text{C}$  and 0.1xSSC {1xSSC = 0.15 M NaCl, 0.015 M  $\text{Na}_3$  Citrate pH 7.0}). Where the

30 nucleotide sequence of the invention is double-stranded, both strands of the duplex, either individually or in combination, are encompassed by the present invention. Where the

nucleotide sequence is single-stranded, it is to be understood that the complementary sequence of that nucleotide sequence is also included within the scope of the present invention.

5 ~~Nucleotide sequences which are not 100% homologous to the sequences of the present~~  
invention but fall within the scope of the invention can be obtained in a number of ways.  
Other variants of the sequences described herein may be obtained for example by probing  
DNA libraries made from a range of sources. In addition, other viral/bacterial, or cellular  
homologues particularly cellular homologues found in mammalian cells (e.g. rat, mouse,  
10 bovine and primate cells), may be obtained and such homologues and fragments thereof  
in general will be capable of selectively hybridising to the sequences shown in the  
sequence listing herein. Such sequences may be obtained by probing cDNA libraries  
made from or genomic DNA libraries from other animal species, and probing such  
libraries with probes comprising all or part of the reference nucleotide sequence under  
15 conditions of medium to high stringency. Similar considerations apply to obtaining  
species homologues and allelic variants of the amino acid and/or nucleotide sequences  
useful in the present invention.

Variants and strain/species homologues may also be obtained using degenerate PCR  
20 which will use primers designed to target sequences within the variants and homologues  
encoding conserved amino acid sequences within the sequences of the present invention.  
Conserved sequences can be predicted, for example, by aligning the amino acid  
sequences from several variants/homologues. Sequence alignments can be performed  
using computer software known in the art. For example the GCG Wisconsin PileUp  
25 program is widely used. The primers used in degenerate PCR will contain one or more  
degenerate positions and will be used at stringency conditions lower than those used for  
cloning sequences with single sequence primers against known sequences.

Alternatively, such nucleotide sequences may be obtained by site directed mutagenesis of  
30 characterised sequences. This may be useful where for example silent codon changes are  
required to sequences to optimise codon preferences for a particular host cell in which the  
nucleotide sequences are being expressed. Other sequence changes may be desired in

order to introduce restriction enzyme recognition sites, or to alter the activity of the transport protein or protein for Notch signalling modulation encoded by the nucleotide sequences.

- 
- 5 The nucleotide sequences such as a DNA polynucleotides useful in the invention may be produced recombinantly, synthetically, or by any means available to those of skill in the art. They may also be cloned by standard techniques.

- 10 In general, primers will be produced by synthetic means, involving a step wise manufacture of the desired nucleic acid sequence one nucleotide at a time. Techniques for accomplishing this using automated techniques are readily available in the art.

- 15 Longer nucleotide sequences will generally be produced using recombinant means, for example using a PCR (polymerase chain reaction) cloning techniques. This will involve making a pair of primers (e.g. of about 15 to 30 nucleotides) flanking a region of the targeting sequence which it is desired to clone, bringing the primers into contact with mRNA or cDNA obtained from an animal or human cell, performing a polymerase chain reaction (PCR) under conditions which bring about amplification of the desired region, isolating the amplified fragment (e.g. by purifying the reaction mixture on an agarose gel)
- 20 and recovering the amplified DNA. The primers may be designed to contain suitable restriction enzyme recognition sites so that the amplified DNA can be cloned into a suitable cloning vector

- 25 According to further aspects of the present invention there is provided an expression vector comprising the polynucleotide sequence of the present invention; a host cell transformed with the expression vector of the present invention; a method for preparing a fusion protein of the present invention comprising culturing the host cell of the present invention under conditions which provide for the expression of the fusion protein; a method of transporting a protein for Notch signalling modulation into a cell
- 30 comprising exposing a cell to a conjugate according to the present invention; a conjugate prepared by the method of the present invention; a pharmaceutical composition comprising the conjugate of the present invention, particularly for use in

the treatment of T-cell mediated disease; and use of the conjugate of the present invention in the preparation of a medicament for the prevention and/or treatment of disease or infection, particularly a T-cell mediated disease.

- 5 Various preferred features and embodiments of the present invention will now be described in more detail by way of non-limiting example and with reference to the accompanying drawings, in which:

Figure 1 shows a schematic representation of Notch;

- 10 Figure 2 shows a schematic representation of NotchIC; and

Figures 3 and 4 show schematic representations of the Notch signalling pathway.

- The practice of the present invention will employ, unless otherwise indicated, conventional techniques of chemistry, molecular biology, microbiology, recombinant DNA and immunology, which are within the capabilities of a person of ordinary skill
- 15 in the art. Such techniques are explained in the literature. See, for example, J. Sambrook, E. F. Fritsch, and T. Maniatis, 1989, *Molecular Cloning: A Laboratory Manual*, Second Edition, Books 1-3, Cold Spring Harbor Laboratory Press; Ausubel, F. M. et al. (1995 and periodic supplements; *Current Protocols in Molecular Biology*, ch. 9, 13, and 16, John Wiley & Sons, New York, N.Y.); B. Roe, J. Crabtree, and A.
- 20 Kahn, 1996, *DNA Isolation and Sequencing: Essential Techniques*, John Wiley & Sons; J. M. Polak and James O'D. McGee, 1990, *In Situ Hybridization: Principles and Practice*; Oxford University Press; M. J. Gait (Editor), 1984, *Oligonucleotide Synthesis: A Practical Approach*, Irl Press; and, D. M. J. Lilley and J. E. Dahlberg, 1992, *Methods of Enzymology: DNA Structure Part A: Synthesis and Physical*
- 25 *Analysis of DNA Methods in Enzymology*, Academic Press. Each of these general texts is herein incorporated by reference.

#### TRANSPORT PROTEIN

- 30 Herpesvirus VP22 protein (VP22)

The herpesvirus (HSV) VP22 protein (VP22) is a 38kDa protein which as described in WO97/05625 and Elliott & O'Hare shows a transport function. VP22 is the product of the HSV1 gene, UL49 (GenBank X14112). VP22 is transported from the cytoplasm of an expressing cell where it accumulates in the nucleus of neighbouring cells. In

5 addition, VP22 is taken up by unexpressing cells where it accumulates in the nucleus. Thus fusion of the VP22 sequence to an amino acid sequence or polynucleotide sequence can provide a useful cell delivery vector.

10 In this specification, 'VP22' denotes: protein VP22 of HSV, e.g. of HSV1, and transport-active fragments and homologues thereof, including transport active homologues from other herpesviruses including varicella zoster virus VZV, equine herpesvirus EHV and bovine herpesvirus BHV; and variant proteins having a transport function corresponding to a transport function of VP22 of HSV1.

15 Among fragments of herpesvirus VP22 protein with transport activity WO97/05265 reports that transport activity is present in polypeptides corresponding to amino acids (aa) 60-301 and 159-301 of the full HSV1 VP22 sequence (aa 1-301).

20 Accordingly, the present invention relates in one aspect to coupled and fusion proteins comprising a sub-sequence of VP22 containing a sequence starting preferable from about aa 159 (or earlier, towards the N-terminal, in the native VP22 sequence), to about aa 301, and having (relative to the full VP22 sequence) at least one deletion of at least part of the VP22 sequence which can extend for example from the N-terminal to the cited starting point, e.g. a deletion of all or part of the sequence of about aa 1-158.

25 VP22 sequences as contemplated herein extend to homologous proteins and fragments based on sequences of VP22 protein homologues from other herpesviruses, e.g. the invention provides corresponding derivatives and uses of the known VP22-homologue sequences from VZV (e.g. all or homologous parts of the sequence from aa 1-302),  
30 from MDV (e.g. all or homologous parts of the sequence from aa 1-249) and from BHV (e.g. all or homologous parts of the sequence from aa 1-258). The sequences of the corresponding proteins from HS V2, VZV, SHV and MDV are available in public



protein/nucleic acid sequence databases. Thus, for example, within the EMBL/Genbank database, a VP22 sequence from HSV2 is available as gene item UL29 under accession no. Z86099 containing the complete genome of HSV2 strain HG52; the complete genome of VZV including the homologous gene/protein is available under accession numbers X04370, M14891, M16612; the corresponding protein sequence from BHV is available as 'bovine herpesvirus 1 virion tegument protein' under accession number U21137; and the corresponding sequence from MDV is available as gene item UL49 under accession number L10283 for 'gallid herpesvirus type 1 homologous sequence genes'. In these proteins, especially those from HSV2 and VZV, corresponding deletions can be made, e.g. of sequences homologous to aa 1-159 of VP22 from HSV1.

Furthermore, chimeric VP22 proteins and protein sequences are also useful within the context of the present invention, e.g. a protein sequence from VP22 of HSV1 for part of which a homologous sequence from the corresponding VP22 homologue of another herpesvirus has been substituted. For example, into the sequence of polypeptide 159-301 from VP22 of HSV1, C-terminal sequences can be substituted from VP22 of HSV2 or from the VP22 homologue of BHV.

## 20 Homeodomains

It has been proposed that homeodomains in general may be used as efficient transduction vectors (Jeon) and thus may be used in the present invention.

25 Homeoproteins are trans-activating factors involved in multiple morphological processes. They bind to DNA through a sequence of 60 amino acid residues, the so-called homeodomain. The structure of this domain consists of three  $\alpha$ -helices, interrupted by a  $\beta$ -turn between helices 2 and 3 (Gehring et al.). The phylogenetic relationship between numerous homeoproteins is striking at the level of the homeodomain and particularly within the third  $\alpha$ -helix. This helix is responsible for both the interaction with DNA, as well as the capacity of homeoproteins to translocate across cell membranes to cell nuclei in a non-specific manner. Examples of

homoeodomains which may be used in preferred embodiments of the present invention are the homoeodomains of Antennapedia, Fushi-tatzu and Engrailed.

In a particularly preferred embodiment the homoeodomain is that of Antennapedia. In  
5 more detail, the gene antennapedia (*Antp*) encodes a transcriptional factor that has  
been shown to control antero-posterior morphogenesis in *Drosophila* embryo. The  
protein sequence of antennapedia is characterised by the presence of a 60 amino acids  
motif (homeodomain) that binds to specific DNA target elements. Antennapedia  
10 homologues have been found in nearly all multicellular organisms and show a very  
high degree of amino acid sequence identity. The human and *Drosophila* antennapedia  
proteins differ in the sequence of the homeodomain only for one conservative amino  
acid substitution.

It has been observed that antennapedia and its homeodomain are able to translocate  
15 across the cytoplasmic membrane of mammalian cells. The translocation does not  
depend on cell endocytosis and it has been reported that translocation occurs at both  
4°C and 37°C. Homeodomain synthetic peptides made of D amino acids are also able  
to cross the cytoplasmic membrane. This finding would rule out the possibility that  
*Antp* is translocated through a receptor mediated mechanism. This property has been  
20 exploited to vehiculate small viral sequences into the cytoplasm of cultured cells as  
well as to elicit an MHC class I restricted cytotoxic immune response against the  
nucleoprotein of the influenza virus.

The homeodomain of the *Antp* gene preferably is obtainable from *Drosophila*.  
25 Sequences homologous to this homeodomain have been isolated from other organisms,  
including vertebrates, mammals and humans, and these are included in the present  
invention. The homeodomain may be prepared using standard techniques such as  
cloning. As previously indicated differences in the sequences of such multicellular  
organisms are generally conservative in nature. However, this may not necessarily be  
30 the case and other such sequences are included in the present invention, and for  
example where the sequence identity is about 50% or more, e.g. 60%, 70%, 80% or  
90%, with the sequence obtainable from *Drosophila*.

- European Patent 485578 discloses that the homeodomain and specifically, helix 3 of a homeobox peptide, particularly that derived from the *Drosophila* Antennapedia, is of use as an intracellular transport vector. The patent disclosed that a specific 57 amino acid sequence of a *Drosophila* Antennapedia homeopeptide (referred to as the pAntp peptide) was capable of penetrating fibroblasts and embryo cells (in vivo). Emphasis was placed upon the last 27 amino acids of the sequence that correspond with the helix 3 and 4.
- 10 Subsequent disclosures (Derossi D et al. (1994), Derossi D et al. (1996), Joliot et al., Perez F et al.), have focused on a 16 amino acid synthetic peptide derived from the third helix of the Antennapedia homeodomain that may be used for the intracellular delivery of bioactive products and antisense oligonucleotides. The amino acid sequence of this peptide is RQIKIWFQNRRMKWKK also known as penetratin. In
- 15 the course of their investigations the above authors synthesized several variants on this sequence, these corresponding to residues 41-60, 41-55 and 46-60 of the pAntp peptide and showed that in all cases, the only peptides to internalise into the cells were those that included the residues 43-58 (Derossi D et al., supra).
- 20 In an effort to prevent the enzymatic cleavage of this peptide Brugidou J et al., prepared a *retro-inverso* form (D amino acids in reverse order) substituting the two isoleucine residues at positions 3 and 5 of penetratin with valine and adding a glycine residue at the C-terminus to facilitate binding to a resin. A further *retro-inverso* form was prepared replacing the extra glycine with a cholesterol moiety attached via a
- 25 sulfhydryl linker group. The addition of the cholesterol moiety improved penetration due to the increased hydrophobicity of the molecule.

This development of the *retro-inverso* form of penetratin has given rise to WO97/12912 that discloses peptides of 16 amino acids comprising between 6 and 10 hydrophobic amino acids wherein the sixth amino acid from either end must be

30 tryptophan. This disclosure attempts to define the minimal characteristics of sequences capable of acting as internalisation vectors as being the retention of a

tryptophan residue at the sixth position from the amino terminus and that the peptide contains from 6 to 10 hydrophobic amino acid residues (the classification of hydrophobic amino residues in WO97/12912 is not believed to be in agreement with the generally accepted classification).

5

From the disclosures discussed above, as summarised in WO97/12912, it has been concluded that essential to the membrane translocating properties of the homeodomain peptides, is the presence of a tryptophan residue as the sixth residue from the amino terminus. Conforming to these requirements has been a penetratin variant of the formula (KWKK)<sub>4</sub> which has been described as having translocating ability that discloses a number of branched membrane translocating peptides such as (KWKK)<sub>2</sub>KGGC, wherein each KWKK is joined to the following lysine residue.

10

Further variants are disclosed in WO00/29427 having a formula;

15

RQIKIWFQNRRMKWKK

1

16

wherein at least one amino acid residue is deleted from the amino terminus, or variants thereof. Thus, it has been observed that the ability to translocate a cell membrane is retained with sequences not containing the whole of residues 43-58 of the pAntp peptide.

20

#### HIV tat protein

25

Purified human immunodeficiency virus type-1 ("HIV") tat protein is taken up from the surrounding medium by human cells growing in culture (A.D. Frankel and C.O. Pabo). Tat protein trans-activates certain HIV genes and is essential for viral replication. The full-length HIV-1 tat protein has 86 amino acid residues. The HIV tat gene has two exons. Tat amino acids 1-72 are encoded by exon 1, and amino acids 73-86 are encoded by exon 2. The full-length tat protein is characterised by a basic region

30

which contains two lysines and six arginines (amino acids 49-57) and a cysteine-rich region which contains seven cysteine residues (amino acids 22-237).

The basic region (i.e. amino acids 49-57) is thought to be important for nuclear localization. (Ruben, S. et al.; Hauber, J. et al.). The cysteine-rich region mediates the formation of metal-linked dimers *in vitro* (Frankel, A.D. et al., and Frankel, A.D. et al.) and is essential for its activity as a transactivator (Garcia, J.A. et al. And Sadaie, M.R. et al.). As in other regulatory proteins, the N-terminal region may be involved in protection against intracellular proteases (Bachmair, A. et al).

The preferred transport polypeptides of this invention are characterized by the presence of the tat basic region amino acid sequence (amino acids 49-57 of naturally-occurring tat protein); the absence of the tat cysteine-rich region amino acid sequence (amino acids 22-36 of naturally-occurring tat protein) and the absence of the tat exon 2-encoded carboxy-terminal domain (amino acids 73-86 of naturally-occurring tat protein). Preferred embodiments of such transport polypeptides are: tat37-72, tat37-58, tat38-58GGC, tatCGG47-58, tat47-58GGC, and tatAcys. It will be recognised by those of ordinary skill in the art that when the transport polypeptide is genetically fused to the cargo moiety, an amino-terminal methionine must be added, but the spacer amino acids (e.g., CysGlyGly or GlyGlyCys) need not be added.

## PROTEIN FOR NOTCH SIGNALLING MODULATION

### a. Polypeptides and Polynucleotides for Notch signalling Transduction:

25

The Notch signalling pathway directs binary cell fate decisions in the embryo. Notch was first described in *Drosophila* as a transmembrane protein that functions as a receptor for two different ligands, Delta and Serrate. Vertebrates express multiple Notch receptors and ligands (discussed below). At least four Notch receptors (Notch-1, Notch-2, Notch-3 and Notch-4) have been identified to date in human cells.

30

- Notch proteins are synthesized as single polypeptide precursors that undergo cleavage via a Furin-like convertase that yields two polypeptide chains that are further processed to form the mature receptor. The Notch receptor present in the plasma membrane comprises a heterodimer of two Notch proteolytic cleavage products, one comprising an N-terminal fragment consisting of a portion of the extracellular domain, the transmembrane domain and the intracellular domain, and the other comprising the majority of the extracellular domain. The proteolytic cleavage step of Notch to activate the receptor occurs and is mediated by a furin-like convertase.
- 10 Notch receptors are inserted into the membrane as disulphide-linked heterodimeric molecules consisting of an extracellular domain containing 36 epidermal growth factor (EGF)-like repeats and a transmembrane subunit that contains the cytoplasmic domain. The cytoplasmic domain of Notch contains six ankyrin-like repeats, a polyglutamine stretch (OPA) and a PEST sequence. A further domain termed RAM23 lies proximal to the ankyrin repeats and is involved in binding to a transcription factor, known as
- 15 Suppressor of Hairless [Su(H)] in *Drosophila* and CBF1 in vertebrates (Tamura). The Notch ligands also display multiple EGF-like repeats in their extracellular domains together with a cysteine-rich DSL (Delta-Serrate Lag2) domain that is characteristic of all Notch ligands (Artavanis-Tsakonas). Schematic representations of Notch and the
- 20 Notch intracellular domain are shown in Figures 1 and 2.

The Notch receptor is activated by binding of extracellular ligands, such as Delta, Serrate and Scabrous, to the EGF-like repeats of Notch's extracellular domain. Delta requires cleavage for activation. It is cleaved by the ADAM disintegrin metalloprotease Kuzbanian at the cell surface, the cleavage event releasing a soluble and active form of Delta. An oncogenic variant of the human Notch-1 protein, also

25 known as TAN-1, which has a truncated extracellular domain, is constitutively active and has been found to be involved in T-cell lymphoblastic leukemias.

- 30 The cdc10/ankyrin intracellular-domain repeats mediate physical interaction with intracellular signal transduction proteins. Most notably, the cdc10/ankyrin repeats interact with Suppressor of Hairless [Su(H)]. Su(H) is the *Drosophila* homologue of C-promoter

binding factor-1 [CBF-1], a mammalian DNA binding protein involved in the Epstein-Barr virus-induced immortalization of B-cells. It has been demonstrated that, at least in cultured cells, Su(H) associates with the cdc10/ankyrin repeats in the cytoplasm and translocates into the nucleus upon the interaction of the Notch receptor with its ligand

- 
- 5 Delta on adjacent cells. Su(H) includes responsive elements found in the promoters of several genes and has been found to be a critical downstream protein in the Notch signalling pathway. The involvement of Su(H) in transcription is thought to be modulated by Hairless.
- 10 The intracellular domain of Notch (NotchIC) also has a direct nuclear function (Lieber). Recent studies have indeed shown that Notch activation requires that the six cdc10/ankyrin repeats of the Notch intracellular domain reach the nucleus and participate in transcriptional activation. The site of proteolytic cleavage on the intracellular tail of Notch has been identified between gly1743 and val1744 (termed site 3, or S3)
- 15 (Schroeter). It is thought that the proteolytic cleavage step that releases the cdc10/ankyrin repeats for nuclear entry is dependent on Presenilin activity.

- The intracellular domain has been shown to accumulate in the nucleus where it forms a transcriptional activator complex with the CSL family protein CBF1 (suppressor of
- 20 hairless, Su(H) in *Drosophila*, Lag-2 in *C. elegans*) (Schroeter; Struhl). The NotchIC-CBF1 complexes then activate target genes, such as the bHLH proteins HES (hairy-enhancer of split like) 1 and 5 (Weinmaster). This nuclear function of Notch has also been shown for the mammalian Notch homologue (Lu).

- 25 Processing of the Notch intracellular domain occurs only in response to binding of Notch ligands Delta or Serrate/Jagged. The post-translational modification of the nascent Notch receptor in the Golgi (Munro; Ju) appears, at least in part, to control which of the two types of ligand is expressed on a cell surface. The Notch receptor is modified on its extracellular domain by Fringe, a glycosyl transferase enzyme that
- 30 binds to the Notch/Lin motif. Fringe modifies Notch by adding O-linked fucose groups to the EGF-like repeats (Moloney; Bruckner). This modification by Fringe does

not prevent ligand binding, but may influence ligand induced conformational changes in Notch. Furthermore, recent studies suggest that the action of Fringe modifies Notch to prevent it from interacting functionally with Serrate/Jagged ligands but allow it to preferentially bind Delta (Panin; Hicks). Although *Drosophila* has a single Fringe gene, vertebrates are known to express multiple genes (Radical, Manic and Lunatic Fringes) (Irvine).

Thus, signal transduction from the Notch receptor can occur via two different pathways both of which are illustrated in Figure 3 and 4. Target genes of the Notch signalling pathway include Deltex, genes of the Hes family (Hes-1 in particular), Enhancer of Split [E(spl)] complex genes, IL-10, CD-23, CD-4 and Dll-1.

Deltex, an intracellular docking protein, replaces Su(H) as it leaves its site of interaction with the intracellular tail of Notch, as shown in Figure 3. Deltex is a cytoplasmic protein containing a zinc-finger (Artavanis-Tsakonas; Osborne). It interacts with the ankyrin repeats of the Notch intracellular domain. Studies indicate that Deltex promotes Notch pathway activation by interacting with Grb2 and modulating the Ras-JNK signalling pathway (Matsuno). Deltex also acts as a docking protein which prevents Su(H) from binding to the intracellular tail of Notch (Matsuno). Thus, Su(H) is released into the nucleus where it acts as a transcriptional modulator. Recent evidence also suggests that, in a vertebrate B-cell system, Deltex, rather than the Su(H) homologue CBF1, is responsible for inhibiting E47 function (Ordentlich). Expression of Deltex is upregulated as a result of Notch activation in a positive feedback loop. The sequence of Homo sapiens Deltex (DTX1) mRNA may be found in GenBank Accession No. AF053700.

Hes-1 (Hairy/Enhancer of Split-1) (Takebayashi) is a transcriptional factor with a basic helix-loop-helix structure. It binds to an important functional site in the CD4 silencer leading to repression of CD4 gene expression. Thus, Hes-1 is strongly involved in the determination of T-cell fate. Other genes from the Hes family include Hes-5 (mammalian Enhancer of Split homologue), the expression of which is also upregulated by Notch activation, and Hes-3. Expression of Hes-1 is upregulated as a result of Notch



activation. The sequence of Hes-1 can be found in GenBank Accession Nos. AK000415 and AF264785.

The E(spl) gene complex [E(spl)-C] (Leimeister) comprises seven genes of which only

5 E(spl) and Groucho show visible phenotypes when mutant. E(spl) was named after its ability to enhance Split mutations, Split being another name for Notch. Indeed, E(spl)-C genes repress Delta through regulation of achaete-scute complex gene expression. Expression of E(spl) is upregulated as a result of Notch activation.

10 IL-10 (interleukin-10) is a factor produced by Th2 helper T-cells and regulatory T-cells. It is a co-regulator of mast cell growth and shows extensive homology with the Epstein-Barr bcrfi gene. Although it is not known to be a direct downstream target of the Notch signalling pathway, its expression has been found to be strongly upregulated coincident with Notch activation and its promoter has several CBF1 response elements. The  
15 mRNA sequence of IL-10 may be found in GenBank ref. No. GI1041812.

CD-23 is the human leukocyte differentiation antigen CD23 (FCE2) which is a key molecule for B-cell activation and growth. It is the low-affinity receptor for IgE. Furthermore, the truncated molecule can be secreted, then functioning as a potent  
20 mitogenic growth factor. Although it is not thought to be a direct downstream target of the Notch signalling pathway, its expression has been found to be strongly upregulated by Notch activation. The sequence for CD-23 may be found in GenBank ref. No. GI1783344.

25 CTLA4 (cytotoxic T-lymphocyte activated protein 4) is an accessory molecule found on the surface of T-cells which is thought to play a role in the regulation of airway inflammatory cell recruitment and T-helper cell differentiation after allergen inhalation. The promoter region of the gene encoding CTLA4 has CBF1 response elements and its expression is upregulated as a result of Notch activation. The  
30 sequence of CTLA4 can be found in GenBank Accession No. L15006.

Dlx-1 (distalless-1) (McGuiness) expression is downregulated as a result of Notch activation. Sequences for Dlx genes may be found in GenBank Accession Nos. U51000-3.

- 5 CD-4 expression is downregulated as a result of Notch activation. A sequence for the CD-4 antigen may be found in GenBank Accession No. XM006966.

Other genes involved in the Notch signaling pathway, such as Numb, Mastermind and Dsh, and all genes the expression of which is modulated by Notch activation, are  
10 included in the scope of this invention.

#### Analogues of NotchIC

As described above the Notch receptor family participates in cell-cell signalling events  
15 that influence T cell fate decisions. In this signalling NotchIC localises to the nucleus and functions as an activated receptor. Mammalian NotchIC interacts with the transcriptional repressor CBF1. It has been proposed that the NotchIC cdc10/ankyrin repeats are essential for this interaction. Hsieh et al suggests rather that the N-terminal  
114 amino acid region of mouse NotchIC contains the CBF1 interactive domain. It is  
20 also proposed that NotchIC acts by targeting DNA-bound CBF1 within the nucleus and abolishing CBF1-mediated repression through masking of the repression domain. It is known that Epstein Barr virus (EBV) immortalizing protein EBNA" also utilises CBF1 tethering and masking of repression to upregulate expression of CBF1-repressed  
B-cell genes. Thus, mimicry of Notch signal transduction is involved in EBV-driven  
25 immortalization. Strobl et al similarly reports that "EBNA2 may hence be regarded as a functional equivalent of an activated Notch receptor". Other EBV proteins which fall in this category include BARF0 (Kusano and Raab-Traub) and LMP2A.

#### **b. Polypeptides and Polynucleotides for Notch signalling Activation:**

Examples of mammalian Notch ligands identified to date include the Delta family, for example Delta-1 (Genbank Accession No. AF003522 - *Homo sapiens*), Delta-3 (Genbank Accession No. AF084576 - *Rattus norvegicus*) Delta-like 3 (*Mus musculus*) and Delta-4 (Genbank Accession No. AB043894), the Serrate family, for example

5 Serrate-1 and Serrate-2 (WO97/01571, WO96/27610 and WO92/19734), Jagged-1 and Jagged-2 (Genbank Accession No. AF029778 - *Homo sapiens*), and LAG-2. Homology between family members is extensive. For example, human Jagged-2 has 40.6% identity and 58.7% similarity to Serrate.

10 Further homologues of known mammalian Notch ligands may be identified using standard techniques. By a "homologue" it is meant a gene product that exhibits sequence homology, either amino acid or nucleic acid sequence homology, to any one of the known Notch ligands, for example as mentioned above. Typically, a homologue of a known Notch ligand will be at least 20%, preferably at least 30%, identical at the  
15 amino acid level to the corresponding known Notch ligand. Techniques and software for calculating sequence homology between two or more amino acid or nucleic acid sequences are well known in the art (see for example <http://www.ncbi.nlm.nih.gov> and Ausubel *et al.*, Current Protocols in Molecular Biology (1995), John Wiley & Sons, Inc.)

20

Notch ligands identified to date have a diagnostic DSL domain (D. *Delta*, S. *Serrate*, L. *Lag2*) comprising 20 to 22 amino acids at the amino terminus of the protein and between 3 to 8 EGF-like repeats on the extracellular surface. It is therefor preferred that homologues of Notch ligands also comprise a DSL domain at the N-terminus and  
25 between 3 to 8 EGF-like repeats on the extracellular surface.

In addition, suitable homologues will be capable of binding to a Notch receptor. Binding may be assessed by a variety of techniques known in the art including *in vitro* binding assays.

30

Homologues of Notch ligands can be identified in a number of ways, for example by probing genomic or cDNA libraries with probes comprising all or part of a nucleic acid

encoding a Notch ligand under conditions of medium to high stringency (for example 0.03M sodium chloride and 0.03M sodium citrate at from about 50°C to about 60°C). Alternatively, homologues may also be obtained using degenerate PCR which will generally use primers designed to target sequences within the variants and homologues  
5 ~~encoding conserved amino acid sequences. The primers will contain one or more~~  
degenerate positions and will be used at stringency conditions lower than those used for cloning sequences with single sequence primers against known sequences.

10 Other substances capable of activating the Notch signalling pathway include compounds capable of upregulating Notch ligand expression including polypeptides that bind to and reduce or neutralise the activity of bone morphogenetic proteins (BMPs). Binding of extracellular BMPs (Wilson and Hemmati-Brivanlou, Hemmati-Brivanlou and Melton) to their receptors leads to down-regulated Delta transcription due to the inhibition of the expression of transcription factors of the  
15 achaete/scute complex. This complex is believed to be directly involved in the regulation of Delta expression. Thus, any substance that inhibits BMP expression and/or inhibits the binding of BMPs to their receptors may be capable of producing an increase in the expression of Notch ligands such as Delta and/or Serrate. Particular examples of such inhibitors include Noggin (Valenzuela), Chordin (Sasai), Follistatin (Iemura), Xnr3, and  
20 derivatives and variants thereof. Noggin and Chordin bind to BMPs thereby preventing activation of their signalling cascade which leads to decreased Delta transcription. Consequently, increasing Noggin and Chordin levels may lead to increase Notch ligand, in particular Delta, expression.

25 Furthermore, any substance that upregulates expression of transcription factors of the achaete/scute complex may also upregulate Notch ligand expression.

Other suitable substances that may be used to upregulate Notch ligand expression include transforming growth factors such as members of the fibroblast growth factor  
30 (FGF) family. The FGF may be a mammalian basic FGF, acidic FGF or another member of the FGF family such as an FGF-1, FGF-2, FGF-3, FGF-4, FGF-5, FGF-6,

FGF-7. Preferably the FGF is not acidic FGF (FGF-1; Zhao). Most preferably, the FGF is a member of the FGF family which acts by stimulating the upregulation of expression of a Serrate polypeptide on APCs. It has been shown that members of the FGF family can upregulate Serrate-1 gene expression in APCs.

5

Immunosuppressive cytokines may also be used to upregulate Notch ligand expression. Examples include members of the TGF- $\beta$  family such as TGF- $\beta$ -1 and TGF- $\beta$ -2, and interleukins such as IL-4, IL-10 and IL-13, and FLT3 ligand. The TGF- $\beta$  family can upregulate Notch, particularly Notch 1, expression and the expression of  
10 Notch ligands, particularly Jagged-1, Jagged-2 and Delta-1; IL-10 can upregulate Serrate, particularly Serrate 1, expression; IL-10 can upregulate Notch, Delta and Serrate, particularly Notch 2, Notch 4, Delta 1 and Serrate 1, expression; and IL-10 can upregulate Serrate, particularly Serrate 1, expression.

15 The substance capable of upregulating expression of Notch or a Notch ligand may be selected from polypeptides and fragments thereof, linear peptides, cyclic peptides, including synthetic and natural compounds. The substances capable of upregulating expression of a Notch ligand may be derived from a biological material such as a component of extracellular matrix. Suitable extracellular matrix components are  
20 derived from immunologically privileged sites such as the eye. For example aqueous humour or components thereof may be used.

Polypeptide substances such as Noggin, FGFs and TGF- $\beta$  may be purified from mammalian cells, obtained by recombinant expression in suitable host cells or  
25 obtained commercially. Alternatively, nucleic acid constructs encoding the polypeptides may be used. As a further example, overexpression of Notch or Notch ligand, such as Delta or Serrate, may be brought about by introduction of a nucleic acid construct capable of activating the endogenous gene, such as the Serrate or Delta gene. In particular, gene activation can be achieved by the use of homologous  
30 recombination to insert a heterologous promoter in place of the natural promoter, such as the Serrate or Delta promoter, in the genome of the target cell.

The activating molecule of the present invention will, in an alternative embodiment, be capable of modifying Notch-protein expression or presentation on the cell membrane or signalling pathways. Agents that enhance the presentation of a fully functional Notch-protein on the target cell surface include matrix metalloproteinases such as the product of the Kuzbanian gene of *Drosophila* (Dkuz) and other ADAMALYSIN gene family members.

**c. Polypeptides and Polynucleotides for Notch signalling Inhibition**

Substances that may be used to inhibit Notch ligand expression include nucleic acid sequences encoding polypeptides that affect the expression of genes encoding Notch ligands. For instance, for Delta expression, binding of extracellular BMPs (bone morphogenetic proteins, Wilson and Hemmati-Brivanlou; Hemmati-Brivanlou and Melton) to their receptors leads to down-regulated Delta transcription due to the inhibition of the expression of transcription factors of the achaete/scute complex. This complex is believed to be directly involved in the regulation of Delta expression. Thus, any polypeptide that upregulates BMP expression and/or stimulates the binding of BMPs to their receptors may be capable of producing a decrease in the expression of Notch ligands such as Delta and/or Serrate. Examples may include nucleic acids encoding BMPs themselves. Furthermore, any substance that inhibits expression of transcription factors of the achaete/scute complex may also downregulate Notch ligand expression.

Members of the BMP family include BMP1 to BMP6, BMP7 also called OP1, OP2 (BMP8) and others. BMPs belong to the transforming growth factor beta (TGF-beta) superfamily, which includes, in addition to the TGF-betas, activins/inhibins (e.g., alpha-inhibin), mullerian inhibiting substance, and glial cell line-derived neurotrophic factor.

Other examples of polypeptides that inhibit the expression of Delta and/or Serrate include the Toll-like receptor (Medzhitov) or any other receptors linked to the innate immune system (for example CD14, complement receptors, scavenger receptors or

defensin proteins), and other polypeptides that decrease or interfere with the production of Noggin (Valenzuela), Chordin (Sasai), Follistatin (Iemura), Xnr3, and derivatives and variants thereof (Hoyne). Noggin and Chordin bind to BMPs thereby preventing activation of their signalling cascade which leads to decreased Delta

5 transcription. Consequently, reducing Noggin and Chordin levels may lead to decrease Notch ligand, in particular Delta, expression (Hoyne et al, 2000).

In more detail, in *Drosophila*, the Toll transmembrane receptor plays a central role in the signalling pathways that control amongst other things the innate nonspecific  
10 immune response. This Toll-mediated immune response reflects an ancestral conserved signalling system that has homologous components in a wide range of organisms. Human Toll homologues have been identified amongst the Toll-like receptor (TLR) genes and Toll/interleukin-1 receptor-like (TIL) genes and contain the characteristic Toll motifs: an extracellular leucine-rich repeat domain and a  
15 cytoplasmic interleukin-1 receptor-like region. The Toll-like receptor genes (including TIL genes) now include TLR4, TIL3, TIL4, and 4 other identified TLR genes.

Other suitable sequences that may be used to downregulate Notch ligand expression include those encoding immune costimulatory molecules (for example CD80, CD86,  
20 ICOS, SLAM) and other accessory molecules that are associated with immune potentiation (for example CD2, LFA-1).

Other suitable substances that may be used to downregulate Notch ligand expression include nucleic acids that inhibit the effect of transforming growth factors such as  
25 members of the fibroblast growth factor (FGF) family. The FGF may be a mammalian basic FGF, acidic FGF or another member of the FGF family such as an FGF-1, FGF-2, FGF-3, FGF-4, FGF-5, FGF-6, FGF-7. Preferably the FGF is not acidic FGF (FGF-1; Zhao *et al.*, 1995). Most preferably, the FGF is a member of the FGF family which acts by stimulating the upregulation of expression of a Serrate polypeptide on APCs.  
30 The inventors have shown that members of the FGF family can upregulate Serrate-1 gene expression in APCs.

Suitable nucleic acid sequences may include anti-sense constructs, for example nucleic acid sequences encoding antisense Notch ligand constructs as well as antisense constructs designed to reduce or inhibit the expression of upregulators of Notch ligand expression (see above). The antisense nucleic acid may be an oligonucleotide such as a

- 5 synthetic single-stranded DNA. However, more preferably, the antisense is an antisense RNA produced in the patient's own cells as a result of introduction of a genetic vector. The vector is responsible for production of antisense RNA of the desired specificity on introduction of the vector into a host cell.
- 10 Preferably, the nucleic acid sequence for use in the present invention is capable of inhibiting Serrate and Delta, preferably Serrate 1 and Serrate 2 as well as Delta 1, Delta 3 and Delta 4 expression in APCs such as dendritic cells. In particular, the nucleic acid sequence may be capable of inhibiting Serrate expression but not Delta expression in APCs. Alternatively, the nucleic acid sequence for use in the present
- 15 invention is capable of inhibiting Delta expression in T cells such as CD4<sup>+</sup> helper T cells or other cells of the immune system that express Delta (for example in response to stimulation of cell surface receptors). In particular, the nucleic acid sequence may be capable of inhibiting Delta expression but not Serrate expression in T cells. In a particularly preferred embodiment, the nucleic acid sequence is capable of inhibiting
- 20 Notch ligand expression in both T cells and APC, for example Serrate expression in APCs and Delta expression in T cells.

Preferred suitable substances that may be used to downregulate Notch ligand expression include growth factors and cytokines. More preferably soluble protein

25 growth factors may be used to inhibit Notch or Notch ligand expression. For instance, Notch ligand expression may be reduced or inhibited by the addition of BMPs or activins (a member of the TGF- $\beta$  superfamily). In addition, T cells, APCs or tumour cells could be cultured in the presence of inflammatory type cytokines including IL-12, IFN- $\gamma$ , IL-18, TNF- $\alpha$ , either alone or in combination with BMPs.

30

Molecules for inhibition of Notch signalling will also include polypeptides, or polynucleotides which encode therefor, capable of modifying Notch-protein



expression or presentation on the cell membrane or signalling pathways. Molecules that reduce or interfere with its presentation as a fully functional cell membrane protein may include MMP inhibitors such as hydroxymate-based inhibitors.

- 
- 5 Other substances which may be used to reduce interaction between Notch and Notch ligands are exogenous Notch or Notch ligands or functional derivatives thereof. Such Notch ligand derivatives would preferably have the DSL domain at the N-terminus and between 3 to 8 EGF-like repeats on the extracellular surface. A peptide corresponding to the Delta/Serrate/LAG-2 domain of hJagged1 and supernatants from COS cells  
10 expressing a soluble form of the extracellular portion of hJagged1 was found to mimic the effect of Jagged1 in inhibiting Notch1 (Li).

Whether a substance can be used for modulating Notch-Notch ligand expression may be determined using suitable screening assays.

15

- Notch signalling can be monitored either through protein assays or through nucleic acid assays. Activation of the Notch receptor leads to the proteolytic cleavage of its cytoplasmic domain and the translocation thereof into the cell nucleus. The "detectable  
20 signal" referred to herein may be any detectable manifestation attributable to the presence of the cleaved intracellular domain of Notch. Thus, increased Notch signalling can be assessed at the protein level by measuring intracellular concentrations of the cleaved Notch domain. Activation of the Notch receptor also catalyses a series of downstream reactions leading to changes in the levels of expression of certain well  
25 defined genes. Thus, increased Notch signalling can be assessed at the nucleic acid level by say measuring intracellular concentrations of specific mRNAs. In one preferred embodiment of the present invention, the assay is a protein assay. In another preferred embodiment of the present invention, the assay is a nucleic acid assay.

- 30 The advantage of using a nucleic acid assay is that they are sensitive and that small samples can be analysed.

The intracellular concentration of a particular mRNA, measured at any given time, reflects the level of expression of the corresponding gene at that time. Thus, levels of mRNA of downstream target genes of the Notch signalling pathway can be measured in

5 an indirect assay of the T-cells of the immune system. In particular, an increase in levels of Deltex, Hes-1 and/or IL-10 mRNA may, for instance, indicate induced anergy while an increase in levels of Dll-1 or IFN- $\gamma$  mRNA, or in the levels of mRNA encoding cytokines such as IL-2, IL-5 and IL-13, may indicate improved responsiveness.

10 Various nucleic acid assays are known. Any convention technique which is known or which is subsequently disclosed may be employed. Examples of suitable nucleic acid assay are mentioned below and include amplification, PCR, RT-PCR, RNase protection, blotting, spectrometry, reporter gene assays, gene chip arrays and other hybridization methods.

15 In particular, gene presence, amplification and/or expression may be measured in a sample directly, for example, by conventional Southern blotting, Northern blotting to quantitate the transcription of mRNA, dot blotting (DNA or RNA analysis), or in situ hybridisation, using an appropriately labelled probe. Those skilled in the art will  
20 readily envisage how these methods may be modified, if desired.

PCR was originally developed as a means of amplifying DNA from an impure sample. The technique is based on a temperature cycle which repeatedly heats and cools the reaction solution allowing primers to anneal to target sequences and extension of those  
25 primers for the formation of duplicate daughter strands. RT-PCR uses an RNA template for generation of a first strand cDNA with a reverse transcriptase. The cDNA is then amplified according to standard PCR protocol. Repeated cycles of synthesis and denaturation result in an exponential increase in the number of copies of the target DNA produced. However, as reaction components become limiting, the rate of amplification  
30 decreases until a plateau is reached and there is little or no net increase in PCR product. The higher the starting copy number of the nucleic acid target, the sooner this "end-point" is reached.

Real-time PCR uses probes labeled with a fluorescent tag or fluorescent dyes and differs from end-point PCR for quantitative assays in that it is used to detect PCR products as they accumulate rather than for the measurement of product accumulation after a fixed number of cycles. The reactions are characterized by the point in time during cycling when amplification of a target sequence is first detected through a significant increase in fluorescence.

The ribonuclease protection (RNase protection) assay is an extremely sensitive technique for the quantitation of specific RNAs in solution. The ribonuclease protection assay can be performed on total cellular RNA or poly(A)-selected mRNA as a target. The sensitivity of the ribonuclease protection assay derives from the use of a complementary *in vitro* transcript probe which is radiolabeled to high specific activity. The probe and target RNA are hybridized in solution, after which the mixture is diluted and treated with ribonuclease (RNase) to degrade all remaining single-stranded RNA. The hybridized portion of the probe will be protected from digestion and can be visualized via electrophoresis of the mixture on a denaturing polyacrylamide gel followed by autoradiography. Since the protected fragments are analyzed by high resolution polyacrylamide gel electrophoresis, the ribonuclease protection assay can be employed to accurately map mRNA features. If the probe is hybridized at a molar excess with respect to the target RNA, then the resulting signal will be directly proportional to the amount of complementary RNA in the sample.

Gene expression may also be detected using a reporter system. Such a reporter system may comprise a readily identifiable marker under the control of an expression system, e.g. of the gene being monitored. Fluorescent markers, which can be detected and sorted by FACS, are preferred. Especially preferred are GFP and luciferase. Another type of preferred reporter is cell surface markers, i.e. proteins expressed on the cell surface and therefore easily identifiable.

In general, reporter constructs useful for detecting Notch signalling by expression of a reporter gene may be constructed according to the general teaching of Sambrook et al

(1989). Typically, constructs according to the invention comprise a promoter by the gene of interest, and a coding sequence encoding the desired reporter constructs, for example of GFP or luciferase. Vectors encoding GFP and luciferase are known in the art and available commercially.

5

Sorting of cells, based upon detection of expression of genes, may be performed by any technique known in the art, as exemplified above. For example, cells may be sorted by flow cytometry or FACS. For a general reference, see Flow Cytometry and Cell Sorting: A Laboratory Manual (1992) A. Radbruch (Ed.), Springer Laboratory,  
10 New York.

15

Flow cytometry is a powerful method for studying and purifying cells. It has found wide application, particularly in immunology and cell biology: however, the capabilities of the FACS can be applied in many other fields of biology. The acronym  
15 F.A.C.S. stands for Fluorescence Activated Cell Sorting, and is used interchangeably with "flow cytometry". The principle of FACS is that individual cells, held in a thin stream of fluid, are passed through one or more laser beams, causing light to be scattered and fluorescent dyes to emit light at various frequencies. Photomultiplier tubes (PMT) convert light to electrical signals, which are interpreted by software to  
20 generate data about the cells. Sub-populations of cells with defined characteristics can be identified and automatically sorted from the suspension at very high purity (~100%).

25

FACS can be used to measure gene expression in cells transfected with recombinant  
25 DNA encoding polypeptides. This can be achieved directly, by labelling of the protein product, or indirectly by using a reporter gene in the construct. Examples of reporter genes are  $\beta$ -galactosidase and Green Fluorescent Protein (GFP).  $\beta$ -galactosidase activity can be detected by FACS using fluorogenic substrates such as fluorescein digalactoside (FDG). FDG is introduced into cells by hypotonic shock, and is cleaved  
30 by the enzyme to generate a fluorescent product, which is trapped within the cell. One enzyme can therefor generate a large amount of fluorescent product. Cells expressing GFP constructs will fluoresce without the addition of a substrate. Mutants of GFP are available which have different excitation frequencies, but which emit fluorescence in

the same channel. In a two-laser FACS machine, it is possible to distinguish cells which are excited by the different lasers and therefor assay two transfections at the same time.

5 ~~Alternative means of cell sorting may also be employed. For example, the invention~~  
comprises the use of nucleic acid probes complementary to mRNA. Such probes can  
be used to identify cells expressing polypeptides individually, such that they may  
subsequently be sorted either manually, or using FACS sorting. Nucleic acid probes  
complementary to mRNA may be prepared according to the teaching set forth above,  
10 using the general procedures as described by Sambrook et al (1989).

In a preferred embodiment, the invention comprises the use of an antisense nucleic acid molecule, complementary to a mRNA, conjugated to a fluorophore which may be used in FACS cell sorting.

15 Methods have also been described for obtaining information about gene expression and identity using so-called gene chip arrays or high density DNA arrays (Chee). These high density arrays are particularly useful for diagnostic and prognostic purposes. Use may also be made of In Vivo Expression Technology (IVET) (Camilli). IVET identifies  
20 genes up-regulated during say treatment or disease when compared to laboratory culture.

The advantage of using a protein assay is that Notch activation can be directly measured. Assay techniques that can be used to determine levels of a polypeptide are well known to those skilled in the art. Such assay methods include  
25 radioimmunoassays, competitive-binding assays, Western Blot analysis, antibody sandwich assays, antibody detection, FACS and ELISA assays.

#### PREPARATION, EXPRESSION VECTORS AND HOST CELLS

30 The conjugates of the present invention may be prepared by any methods known in the art.

The present invention also relates to vectors which comprise a polynucleotide useful in the present invention, host cells which are genetically engineered with vectors of the

invention and the production of polypeptides useful in the present invention by such techniques.

For recombinant production, host cells can be genetically engineered to incorporate  
5 expression systems or polynucleotides of the invention. Introduction of a polynucleotide into the host cell can be effected by methods described in many standard laboratory manuals, such as Davis *et al* and Sambrook *et al*, such as calcium phosphate transfection, DEAE-dextran mediated transfection, transvection, microinjection, cationic lipid-mediated transfection, electroporation, transduction,  
10 scrape loading, ballistic introduction and infection.

Representative examples of appropriate hosts include bacterial cells, such as streptococci, staphylococci, *E. coli*, streptomyces and *Bacillus subtilis* cells; fungal cells, such as yeast cells and *Aspergillus* cells; insect cells such as *Drosophila* S2 and  
15 *Spodoptera* Sf9 cells; animal cells such as CHO, COS, NSO, HeLa, C127, 3T3, BHK, 293 and Bowes melanoma cells; and plant cells.

A great variety of expression systems can be used to produce a polypeptide useful in the present invention. Such vectors include, among others, chromosomal, episomal and virus-derived vectors, e.g., vectors derived from bacterial plasmids, from  
20 bacteriophage, from transposons, from yeast episomes, from insertion elements, from yeast chromosomal elements, from viruses such as baculoviruses, papova viruses, such as SV40, vaccinia viruses, adenoviruses, fowl pox viruses, pseudorabies viruses and retroviruses, and vectors derived from combinations thereof, such as those derived from plasmid and bacteriophage genetic elements, such as cosmids and phagemids.  
25 The expression system constructs may contain control regions that regulate as well as engender expression. Generally, any system or vector suitable to maintain, propagate or express polynucleotides and/or to express a polypeptide in a host may be used for expression in this regard. The appropriate DNA sequence may be inserted into the expression system by any of a variety of well-known and routine techniques, such as,  
30 for example, those set forth in Sambrook *et al*.

For secretion of the translated protein into the lumen of the endoplasmic reticulum, into the periplasmic space or into the extracellular environment, appropriate secretion signals may be incorporated into the expressed polypeptide. These signals may be endogenous to the polypeptide or they may be heterologous signals.

- 5 Conjugates of the invention can be recovered and purified from recombinant cell cultures by well-known methods including ammonium sulfate or ethanol precipitation, acid extraction, anion or cation exchange chromatography, phosphocellulose chromatography, hydrophobic interaction chromatography, affinity chromatography, hydroxylapatite chromatography and lectin chromatography. Most preferably, high  
10 performance liquid chromatography is employed for purification. Well known techniques for refolding protein may be employed to regenerate active conformation when the polypeptide is denatured during isolation and/or purification.

- Chemically coupled sequences can be prepared from individual proteins sequences and  
15 coupled using known chemically coupling techniques. The conjugate can be assembled using conventional solution- or solid-phase peptide synthesis methods, affording a fully protected precursor with only the terminal amino group in deprotected reactive form. This function can then be reacted directly with a protein for Notch signalling modulation or a suitable reactive derivative thereof. Alternatively,  
20 this amino group may be converted into a different functional group suitable for reaction with a cargo moiety or a linker. Thus, e.g. reaction of the amino group with succinic anhydride will provide a selectively addressable carboxyl group, while further peptide chain extension with a cysteine derivative will result in a selectively addressable thiol group. Once a suitable selectively addressable functional group has  
25 been obtained in the delivery vector precursor, a protein for Notch signalling modulation or a derivative thereof may be attached through e.g. amide, ester, or disulphide bond formation. Cross-linking reagents which can be utilized are - discussed, for example, in Neans, G.E. and Feeney, R.E., *Chemical Modification of Proteins*, Holden-Day, 1974, pp. 39-43.

30

As discussed above the transport protein and protein for Notch signalling modulation may be linked directly or indirectly via a cleavable linker moiety. Direct linkage may

occur through any convenient functional group on the protein for Notch signalling modulation such as a hydroxy, carboxy or amino group. Indirect linkage which is preferable, will occur through a linking moiety. Suitable linking moieties include bi- and multi-functional alkyl, aryl, aralkyl or peptidic moieties, alkyl, aryl or aralkyl aldehydes acids esters and anhydrides, sulphydryl or carboxyl groups, such as maleimido benzoic acid derivatives, maleimido propionic acid derivatives and succinimido derivatives or may be derived from cyanuric bromide or chloride, carbonyldiimidazole, succinimidyl esters or sulphonic halides and the like. The functional groups on the linker moiety used to form covalent bonds between linker and protein for Notch signalling modulation on the one hand, as well as linker and transport protein on the other hand, may be two or more of, e.g., amino, hydrazino, hydroxyl, thiol, maleimido, carbonyl, and carboxyl groups, etc. The linker moiety may include a short sequence of from 1 to 4 amino acid residues that optionally includes a cysteine residue through which the linker moiety bonds to the transport protein.

In accordance with the present invention each transport protein may be linked to at least one protein for Notch signalling modulation. In a further embodiment, the transport protein is prepared such as to facilitate linkage to more than one protein for Notch signalling modulation, each protein for Notch signalling modulation being the same or different. For example, the transport protein may comprise components that themselves facilitate the attachment of more than one protein for Notch signalling modulation such as derivatives of naturally occurring amino acids or insertion of a multi-valent synthetic amino acid, or it may be specifically adapted to do so for example by a network of branched lysine residues that may be attached to the transport protein as a linking group and each lysine residue may then be attached to a protein for Notch signalling modulation. In this manner a single transport protein may carry up to 32 proteins for Notch signalling modulation, preferably from 2 to 10 or more preferably from 4 to 5 proteins for Notch signalling modulation. In this further embodiment each protein for Notch signalling modulation may be directly or indirectly linked to the carrier moiety. When more than one different type of protein for Notch



signalling modulation is attached, it is possible to co-ordinate the ratios and dosages of the individual drugs to facilitate the administration of specific protein combinations.

5 Stable aggregates, having particle sizes for example in the range of from 0.1 to 5 microns, may be formed by mixing the protein for Notch signalling modulation with the transport protein. Ratios of from 2:1 to 1:1 of transport protein to protein for Notch signalling modulation are preferred.

10 In a further embodiment, the conjugate may further comprise a targeting moiety. The targeting moiety is capable of directing the transport protein to the specific cell type to which it is preferable for the protein for Notch signalling modulation to function. Thus, the targeting moiety acts as an address system biasing the body's natural distribution of drugs or the conjugate to a particular cell type. The targeting moiety may be attached to the protein for Notch signalling modulation or more preferably to  
15 the transport protein and will direct the conjugate to a desired site, upon arrival at which the transport protein will facilitate the cellular internalisation of the protein for Notch signalling modulation. Suitable targeting moieties include, for example, cell specific antibodies or antibody fragments such as phage-displayed ScFv and other peptide sequences identified by E Ruoslahti et al. in US Patent 5,622,699; Pasqualini,  
20 R, Ruoslahti E; Ruoslahti E; and Arap, W, Pasqualini, R, Ruoslahti, E.

A stabilizing agent, which serves to increase conjugate stability and uptake, can optionally be brought into contact with cells, in conjunction with the conjugate. For example, metal ions which bind to tat protein and increase its stability and uptake, can  
25 be used for this purpose.

In a further embodiment of this invention, a lysosomotropic agent is provided extracellularly in conjunction with the conjugate, in order to enhance uptake by cells. The lysosomotropic agent can be used alone or in conjunction with a stabilizer. For  
30 example lysosomotropic agents such as chloroquine, monensin, amantadine and methylamine, which have been shown to increase uptake of tat in some cells by a few hundred fold, can be used for this purpose.

In another embodiment, a basic peptide, such as tat 38-58 or protamine, is provided extracellularly with the conjugate to enhance its uptake. Such basic peptides can also be used alone, in combination or with stabilizing agents or lysosomotropic agents.

5

### PRODUCTION OF ANTIBODIES

The conjugates of the present invention can also be used to raise antibodies which can be used in diagnostic and monitoring specific binding assays using conventional  
10 techniques, for example, monitoring the localisation of the conjugates themselves or their components.

In accordance with yet another embodiment of the present invention, there are provided antibodies specifically recognising and binding the conjugates according to  
15 the invention. More preferably, however, the antibodies are specific for the second sequence of the conjugates. Advantageously, the second sequence of the conjugate is recognised by the antibodies when in its natural context. Thus, where the second sequence is an isolated fragment or domain from a protein for Notch signalling modulation, that fragment or domain is recognised by the antibodies of the invention  
20 in the context of the whole of the larger protein.

The invention moreover provides a method for preparing an immunoglobulin, comprising the steps of:

- 25 a) immunising an animal with a conjugate according to the present invention : and  
b) recovering immunoglobulin specific for a region of the conjugate from the serum of the animal.

The antibodies (or immunoglobulins) may be isolated in the form of a crude  
30 preparation, i.e. an antiserum, by affinity chromatography against the conjugate. Alternatively, monoclonal antibodies may be prepared according to standard techniques in the art and purified.

### THERAPEUTIC USES

The therapeutic effect resulting from the administration of the conjugate may arise  
5 from the intact conjugate or any of the dissociated proteins for Notch signalling  
modulation alone or bound to the linker, part of the linker or the linker and part of the  
transport protein.

A detailed description of the Notch signalling pathway and conditions affected by it  
10 may be found in our WO98/20142, WO00/36089 and PCT/GB00/04391.

Diseased or infectious states that may be described as being mediated by T cells include,  
but are not limited to, any one or more of asthma, allergy, graft rejection, autoimmunity,  
tumour induced aberrations to the T cell system and infectious diseases such as those  
15 caused by Plasmodium species, Microfilariae, Helminths, Mycobacteria, HIV,  
Cytomegalovirus, Pseudomonas, Toxoplasma, Echinococcus, Haemophilus influenza  
type B, measles, Hepatitis C or Toxicara. Thus particular conditions that may be treated  
or prevented which are mediated by T cells include multiple sclerosis, rheumatoid  
arthritis and diabetes. The present invention may also be used in organ transplantation or  
20 bone marrow transplantation.

### METHODS OF DELIVERY

The present invention can be used to deliver a protein for Notch signal modulation into  
25 cells, particularly the cell nucleus, *in vitro* or *in vivo*. In *in vitro* applications, the  
conjugate may be added to a culture medium of the target cells. The conjugate can  
also be combined with a cell sample obtained from an individual in order to introduce  
the protein for Notch signalling modulation into cells present in the sample. After  
treatment in this manner the sample can be returned to the individual. The conjugate  
30 can also be administered *in vivo*. For example cells that synthesise the conjugate can  
be produced and implanted into an individual. In a further embodiment, the conjugate

can be used much like a conventional therapeutic agent and can be a component of a pharmaceutical composition.

For example, delivery can be carried out *in vitro* by adding a conjugate to cultured  
5 cells, by producing cells that synthesize conjugate or by combining a sample (e.g.,  
blood, bone marrow) obtained from an individual with the conjugate, under  
appropriate conditions. Thus, the target cells may be *in vitro* cells, i.e., cultured  
animals cells, human cells or micro-organisms. Delivery can be carried out *in vivo* by  
administering the conjugate to an individual in whom it is to be used for diagnostic,  
10 preventative or therapeutic purposes. The target cells may be *in vivo* cells, i.e., cells  
composing the organs or tissues of living animals or humans, or microorganisms found  
in living animals or humans.

#### ADMINISTRATION

15 The conjugates of the present invention are typically formulated for administration to  
patients with a pharmaceutically acceptable carrier or diluent to produce a  
pharmaceutical composition. The formulation will depend upon the nature of the  
compound identified and the route of administration but typically they can be formulated  
20 for topical, parenteral, intramuscular, intravenous, intra-peritoneal, intranasal  
inhalation, lung inhalation, intradermal or intra-articular administration. The conjugate  
may be used in an injectable form. It may therefor be mixed with any vehicle which is  
pharmaceutically acceptable for an injectable formulation, preferably for a direct injection  
at the site to be treated, although it may be administered systemically.

25 The pharmaceutically acceptable carrier or diluent may be, for example, sterile isotonic  
saline solutions, or other isotonic solutions such as phosphate-buffered saline. The  
conjugates of the present invention may be admixed with any suitable binder(s),  
lubricant(s), suspending agent(s), coating agent(s), solubilising agent(s). It is also  
30 preferred to formulate the compound in an orally active form.

In general, a therapeutically effective daily oral or intravenous dose of the conjugate of the invention is likely to range from 0.01 to 50 mg/kg body weight of the subject to be treated, preferably 0.1 to 20 mg/kg. The conjugate may also be administered by intravenous infusion, at a dose which is likely to range from 0.001-10 mg/kg/hr.

5

Tablets or capsules of the conjugates may be administered singly or two or more at a time, as appropriate. It is also possible to administer the conjugates in sustained release formulations.

- 10 Typically, the physician will determine the actual dosage which will be most suitable for an individual patient and it will vary with the age, weight and response of the particular patient. The above dosages are exemplary of the average case. There can, of course, be individual instances where higher or lower dosage ranges are merited, and such are within the scope of this invention.

15

- Alternatively, the conjugates of the invention can be administered by inhalation, intranasally or in the form of aerosol, or in the form of a suppository or pessary, or they may be applied topically in the form of a lotion, solution, cream, ointment or dusting powder. An alternative means of transdermal administration is by use of a skin patch. For example, they can be incorporated into a cream consisting of an aqueous emulsion of polyethylene glycols or liquid paraffin. They can also be incorporated, at a concentration of between 1 and 10% by weight, into an ointment consisting of a white wax or white soft paraffin base together with such stabilisers and preservatives as may be required.

25

For some applications, preferably the conjugates are administered orally in the form of tablets containing excipients such as starch or lactose, or in capsules or ovules either alone or in admixture with excipients, or in the form of elixirs, solutions or suspensions containing flavouring or colouring agents.

30

The conjugates can also be injected parenterally, for example intracavernosally, intravenously, intramuscularly or subcutaneously. In this case, the conjugates will comprise a suitable carrier or diluent.

~~5 For parenteral administration, the conjugates are best used in the form of a sterile~~  
aqueous solution which may contain other substances, for example enough salts or monosaccharides to make the solution isotonic with blood.

For buccal or sublingual administration the conjugates may be administered in the  
10 form of tablets or lozenges which can be formulated in a conventional manner.

For oral, parenteral, buccal and sublingual administration to subjects (such as patients), the daily dosage level of the conjugates of the present invention and their pharmaceutically acceptable salts and solvates may typically be from 10 to 500 mg (in  
15 single or divided doses). Thus, and by way of example, tablets or capsules may contain from 5 to 100 mg of active compound for administration singly, or two or more at a time, as appropriate. As indicated above, the physician will determine the actual dosage which will be most suitable for an individual patient and it will vary with the age, weight and response of the particular patient. It is to be noted that whilst the  
20 above-mentioned dosages are exemplary of the average case there can, of course, be individual instances where higher or lower dosage ranges are merited and such dose ranges are within the scope of this invention.

The routes of administration and dosages described are intended only as a guide since  
25 a skilled practitioner will be able to determine readily the optimum route of administration and dosage for any particular patient depending on, for example, the age, weight and condition of the patient.

The term treatment or therapy as used herein should be taken to encompass diagnostic  
30 and prophylactic applications.

The treatment of the present invention includes both human and veterinary applications.

The conjugates of the present invention provide several advantages over known  
5 delivery systems. These advantages include improved efficacy compared to  
conventional treatments, improved cellular uptake of the therapeutic agent, improved  
water solubility, reduction of side effects and cellular bioavailability and decreased  
occurrence of drug resistance.

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**CLAIMS**

1. A conjugate comprising first and second sequences, wherein the first sequence comprises a transport protein and the second sequence comprises a polypeptide or polynucleotide for Notch signalling modulation.
- 5 2. A conjugate according to claim 1 in the form of a fusion protein.
3. A conjugate according to claim 1 or claim 2 wherein the second sequence is a polypeptide or polynucleotide for Notch signalling transduction.
- 10 4. A conjugate according to claim 3 wherein the second sequence is Notch or a fragment thereof which retains the signalling transduction ability of Notch or an analogue of Notch which has the signalling transduction ability of Notch, or a polynucleotide sequence which encodes therefor.
- 15 5. A conjugate according to claim 4 wherein the second sequence is Notch intracellular domain (Notch IC), or a polynucleotide sequence which encodes therefor.
6. A conjugate according to claim 4 wherein the second sequence is an Epstein Barr virus (EBV) protein, or a polynucleotide sequence which encodes therefor.
- 20 7. A conjugate according to claim 6 wherein the second sequence is EBNA2, BARF0 or LMP2A, or a polynucleotide sequence which encodes therefor.
8. A conjugate according to claim 1 or claim 2 wherein the second sequence is a polypeptide or polynucleotide for Notch signalling activation.
- 25 9. A conjugate according to claim 8 wherein the second sequence is a dominant negative version of a Notch signalling repressor, or a polynucleotide encoding for a dominant negative version of a Notch signalling repressor.

10. A conjugate according to claim 8 wherein the second sequence is a polypeptide or polynucleotide which inhibits the expression or activity of a Notch signalling repressor, or a polynucleotide encoding for such a polypeptide.
- 
- 5 11. A conjugate according to claim 8 wherein the second sequence is a polypeptide capable of upregulating the expression or activity of Notch, a Notch ligand or a downstream component of the Notch signalling pathway, or a polynucleotide which encodes therefor.
- 10 12. A conjugate according to claim 11 wherein the second sequence is selected from Noggin, Chordin, Follistatin, Xnr3, fibroblast growth factors, immunosuppressive cytokines and derivatives, fragments, variants and homologues thereof, or a polynucleotide which encodes therefor.
- 15 13. A conjugate according to claim 12 wherein the second sequence is an immunosuppressive cytokine selected from IL-4, IL-10, IL-13, TGF- $\beta$  and FLT3 ligand, or a polynucleotide which encodes therefor.
14. A conjugate according to claim 1 or claim 2 wherein the second sequence is a  
20 polypeptide or polynucleotide for Notch signalling inhibition.
15. A conjugate according to claim 14 wherein the second sequence is a dominant negative version of a Notch signalling activator or transducer, or a polynucleotide encoding for a dominant negative version of a Notch signalling activator or transducer.  
25
16. A conjugate according to claim 14 wherein the second sequence is a polypeptide or polynucleotide which inhibits the expression or activity of a Notch signalling activator or transducer, or a polynucleotide encoding for such a polypeptide.
- 30 18. A conjugate according to claim 14 wherein the second sequence is a polypeptide capable of downregulating the expression or activity of Notch, a Notch

ligand or a downstream component of the Notch signalling pathway, or a polynucleotide which encodes therefor.

19. A conjugate according to claim 18 wherein the second sequence is selected  
5 from Toll-like receptors, cytokines, bone morphogenic proteins (BMPs), BMP  
receptors, activins and derivatives, fragments, variants and homologues thereof, or a  
polynucleotide which encodes therefor.

20. A conjugate according to claim 19 wherein the second sequence is a cytokine  
10 selected from IL-12, IFN- $\gamma$  and TFN- $\alpha$ , or a polynucleotide which encodes therefor.

21. A conjugate according to any preceding claim wherein the first sequence is a  
nuclear localisation protein.

15 22. A conjugate according to any preceding claim wherein the first sequence is a  
herpesvirus VP22 protein (VP22) or a fragment thereof that retains a VP22 transport  
function.

23. A conjugate according to claim 22 wherein the first sequence is a full length  
20 VP22 sequence.

24. A conjugate according to claim 22 wherein the fragment of VP22 comprises:  
from about amino acid 60 to about amino acid 301 of the full length VP22 sequence,  
or  
25 from about amino acid 159 to about amino acid 301 of the full length VP22 sequence.

25. A conjugate according to any one of claims 1 to 20 wherein the first sequence  
comprises a homeodomain, or a variant thereof that retains a transport function.

30 26. A conjugate according to claim 25 wherein the homeodomain is from  
Antennapedia, Fushi-tarazu or Engrailed.

27. A conjugate according to any one of claims 1 to 20 wherein the first sequence is an HIV tat protein, or a variant thereof that retains a transport function.
28. A polynucleotide sequence encoding the conjugate of any preceding claim.
- 
- 5 29. An expression vector comprising the polynucleotide sequence of claim 28.
30. A host cell transformed with the expression vector of claim 29.
- 10 31. A method for preparing a conjugate comprising culturing the host cell of claim 30 under conditions which provide for the expression of the conjugate.
32. A conjugate prepared by the method of claim 31.
- 15 33. A method of transporting a protein for Notch signalling modulation or a polynucleotide sequence which encodes therefor into a cell comprising exposing a cell to a fusion protein according to any one of claims 1 to 27 or 32.
34. A pharmaceutical composition comprising the conjugate of any of claims 1 to 20 27 or 32 and a pharmaceutically acceptable excipient, diluent or carrier.
35. A pharmaceutical composition according to claim 34 for use in the treatment of T-cell mediated disease.
- 25 36. Use of the conjugate of any of claims 1 to 27 or 32 in the preparation of a medicament for the prevention and/or treatment of disease or infection.
37. Use according to claim 36 wherein the disease is a T-cell mediated disease.
- 30 38. A conjugate, polynucleotide sequence, expression vector, host cell, method, pharmaceutical composition or use substantially as hereinbefore described with reference to the accompanying Figures.

ABSTRACT

CONJUGATE

A conjugate comprising first and second sequences, wherein the first sequence  
----- comprises a transport protein and the second sequence comprises a protein for Notch  
5 signalling modulation or a polynucleotide sequence encoding said protein for Notch  
signalling modulation.

Fig. 1

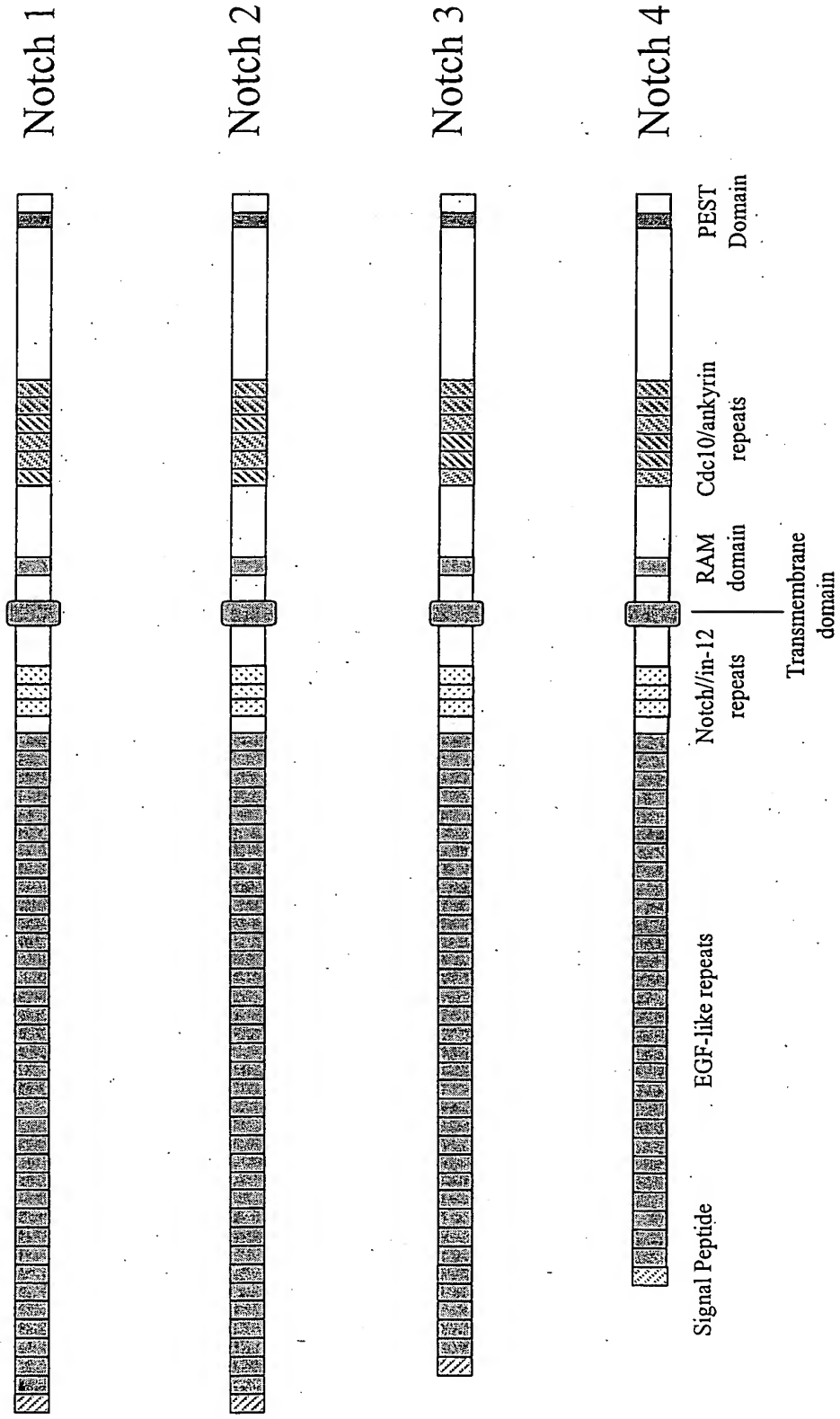






Fig. 2

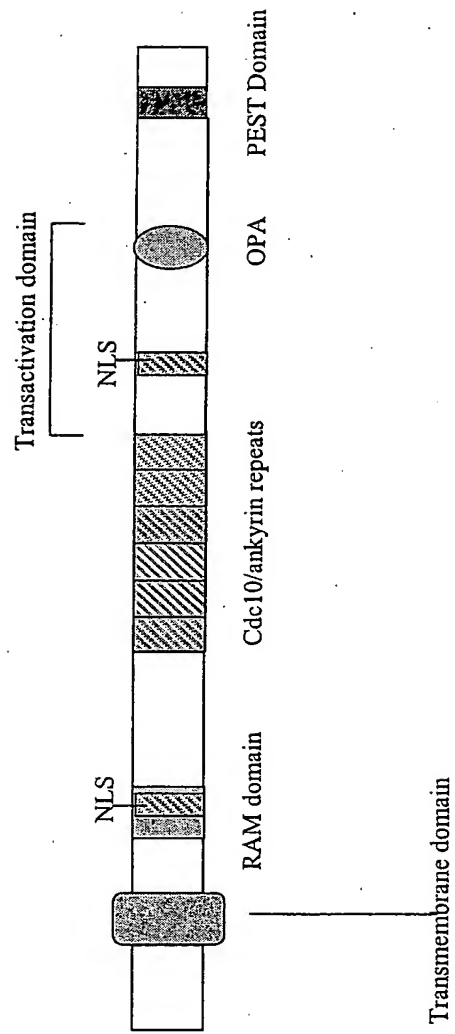
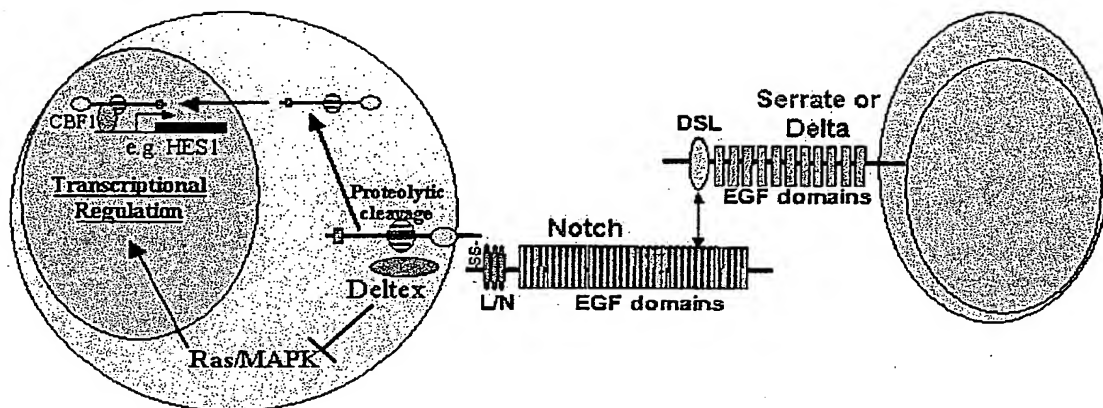




Figure 3



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FIGURE 4

3/3

